

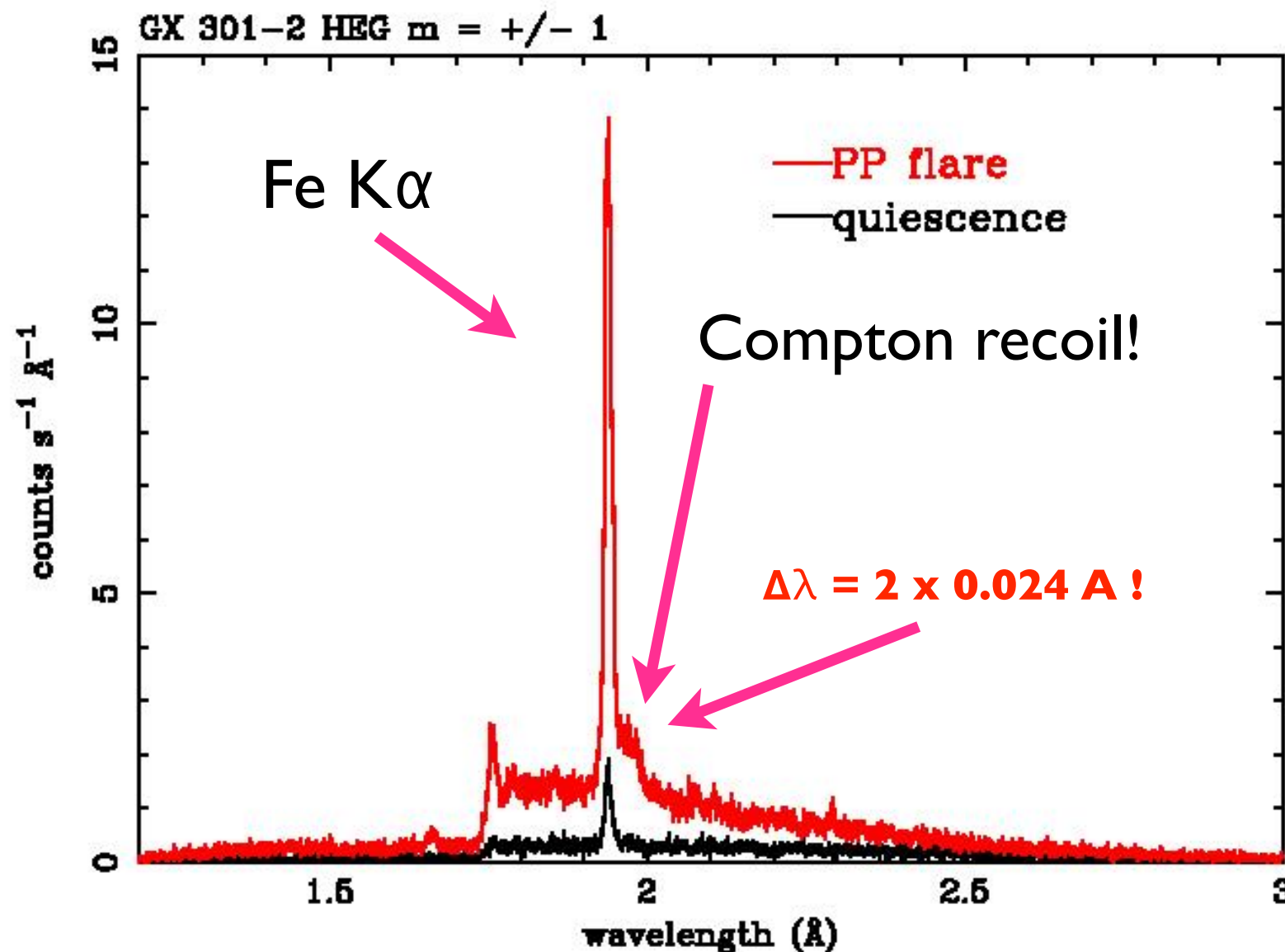
2.1

interaction of X-rays with matter

# continuum processes

Compton scattering  
incoherent! important in NS atmospheres

## GX301-2 Chandra HETGS



with Watanabe-san et al.

# bremsstrahlung emission and absorption (a.k.a. 'free-free' processes)

thermal bremsstrahlung emissivity, per unit frequency or energy, is  $\sim$  flat, up to  $E \sim kT$ ;

therefore,

(inverse) bremsstrahlung absorption cross section is strongly energy-dependent ( $\sim E^{-3}$ )

(apply Kirchhoff's Law in thermodynamic equilibrium)

note: *this means that there is always a strongly frequency-dependent opacity in dense gas, even if there is no photoelectric absorption!*

Note: cross sections change in magnetized plasmas  
(will see examples later on)

# photoelectric absorption and radiative recombination emission

above threshold energy:

$$\omega_n = \alpha^2 m c^2 Z^2 / (2 \hbar n^2)$$

photoelectric cross section:

$$\sigma_{\text{bf}} = \left( \frac{64\pi n}{3\sqrt{3}Z^2} \right) \alpha a_0^2 (\omega_n / \omega)^3 g(\omega, n, l, Z)$$

note: again  $E^{-3}$ ; also:

proportional to  $Z^4$ !

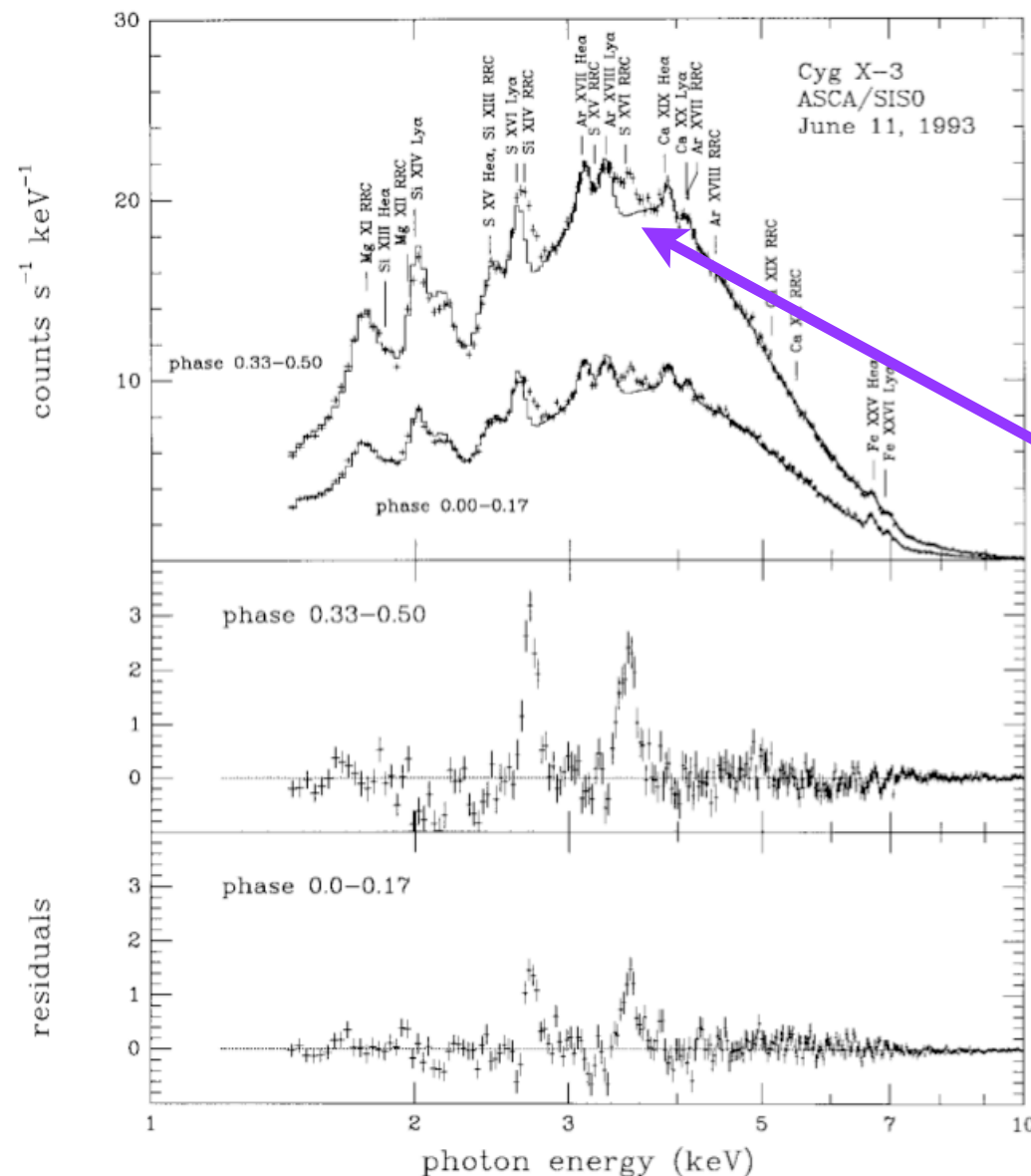
so even trace elements are important

Again: cross sections change in magnetized plasmas  
(will see examples later on)



# photoelectric absorption and radiative recombination emission

the inverse process, radiative recombination,  
can be important, too



Cygnus X-3 again

radiative recombination  
continuum

# Discrete Transitions

in high-density plasmas, will only see E-dipole transitions  
(no ‘forbidden’ lines)

classical harmonic oscillator provides simple  
conceptual framework for *natural* line profile:

$$\ddot{x} + \gamma \dot{x} + \omega_0^2 x = \frac{eE_0}{m} \exp(-i\omega t)$$

leads to expression for absorption cross-section  
(in cm<sup>2</sup>):

$$\sigma_\nu = \frac{\pi e^2}{mc} \frac{\delta/\pi}{(\nu - \nu_0)^2 + \delta^2}; \quad \delta \equiv \gamma/4\pi$$

$$\sigma_\nu = \frac{\pi e^2}{mc} \frac{\delta/\pi}{(\nu - \nu_0)^2 + \delta^2}; \quad \delta \equiv \gamma/4\pi$$

quantum mechanics: insert factor  $f$ : 'oscillator strength',

$$f_{ij} \propto | \langle j | e\mathbf{r} | i \rangle |^2$$

$\gamma$  is the 'natural width';

to get a rough idea, classical radiation damping gives

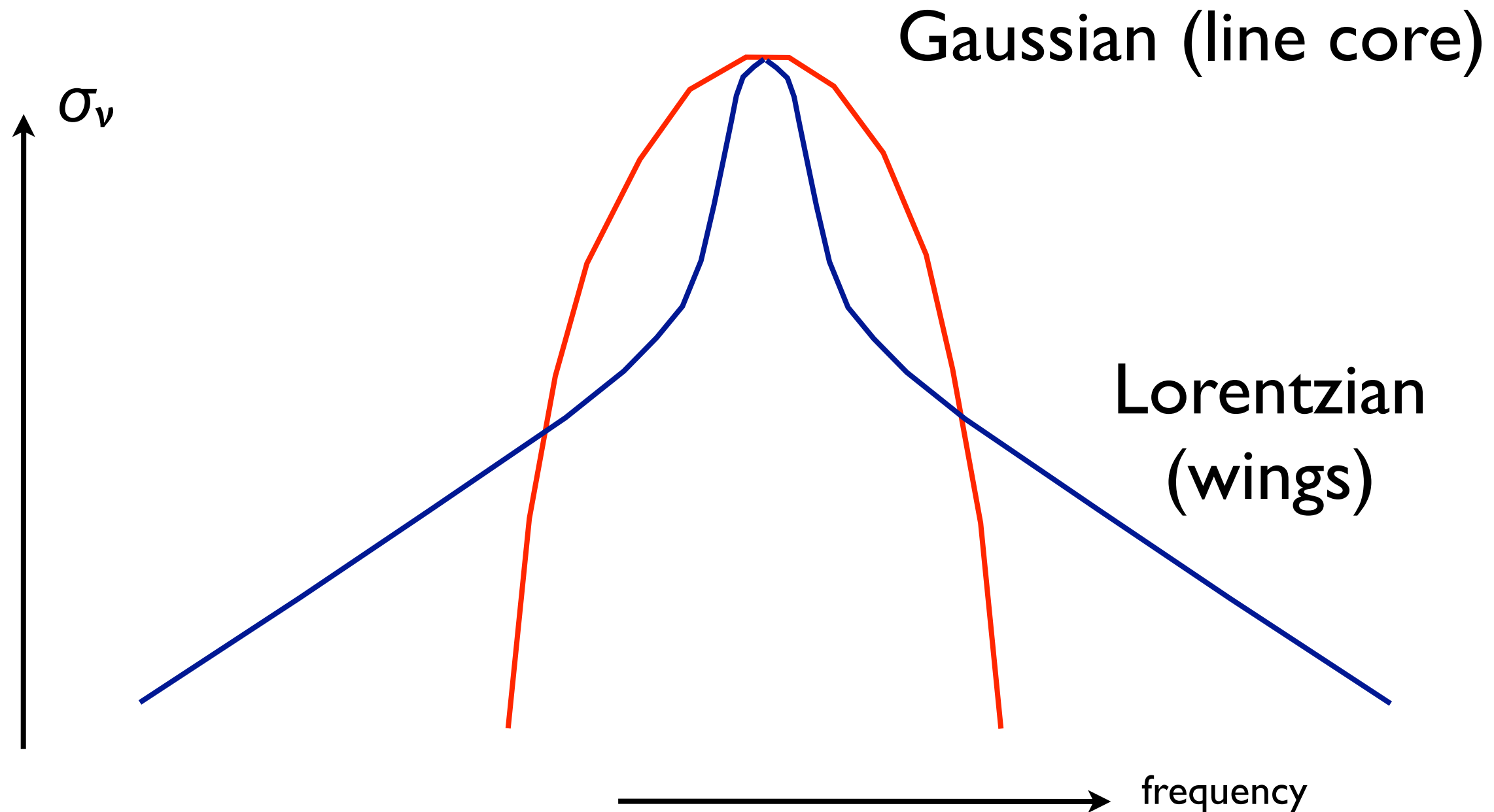
$$A = \gamma = \frac{8\pi^2}{3} \frac{e^2}{mc^3} \nu^2 = 2.5 \times 10^{-22} \nu^2 \text{ sec}^{-1}$$

Note:  $A$  is very large in X-ray band:

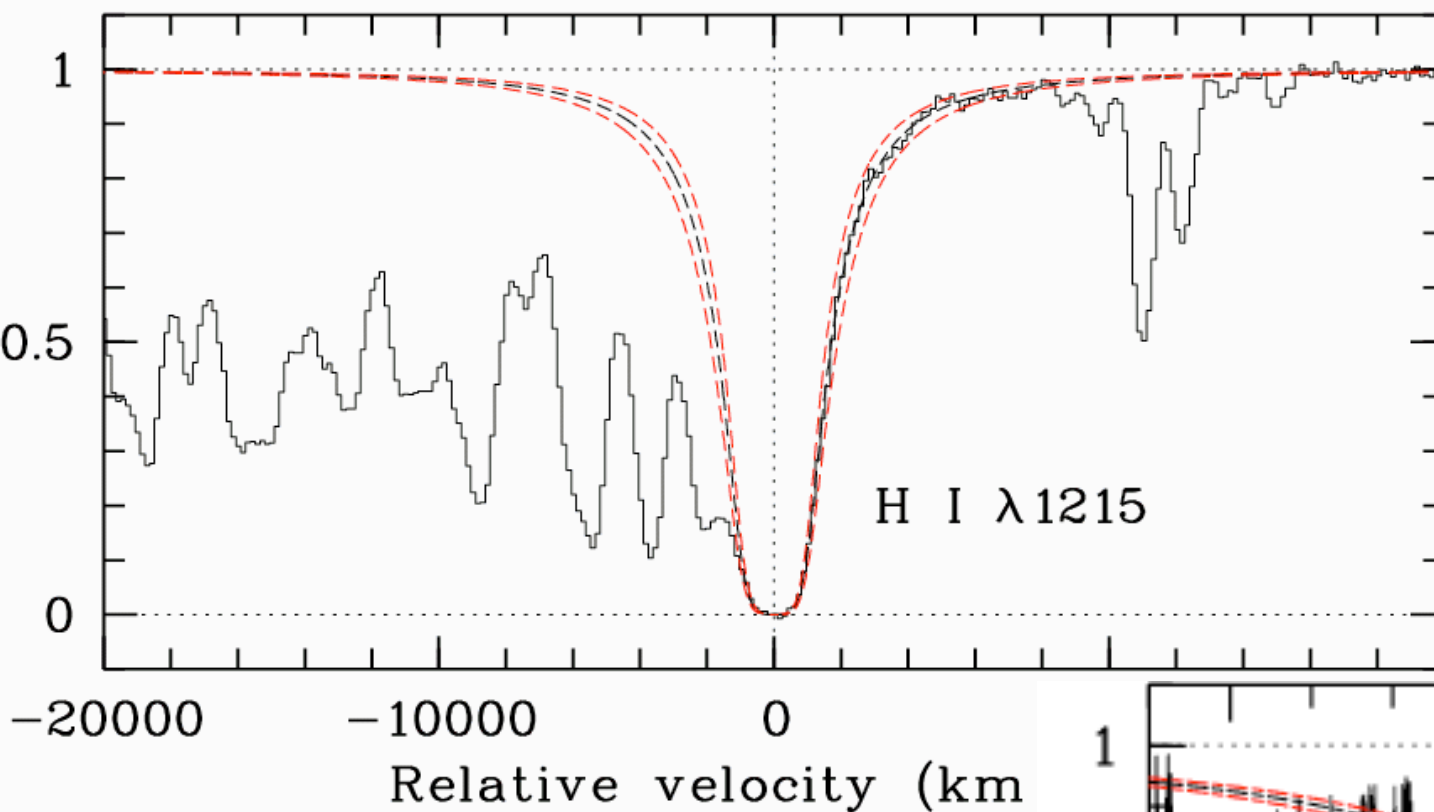
$E = 1 \text{ keV}: A \sim 10^{13-14} \text{ sec}^{-1}$  (will be important later)

# thermal broadening

Convolve the natural profile with a thermal Doppler shift distribution; result is a so-called Voigt profile:

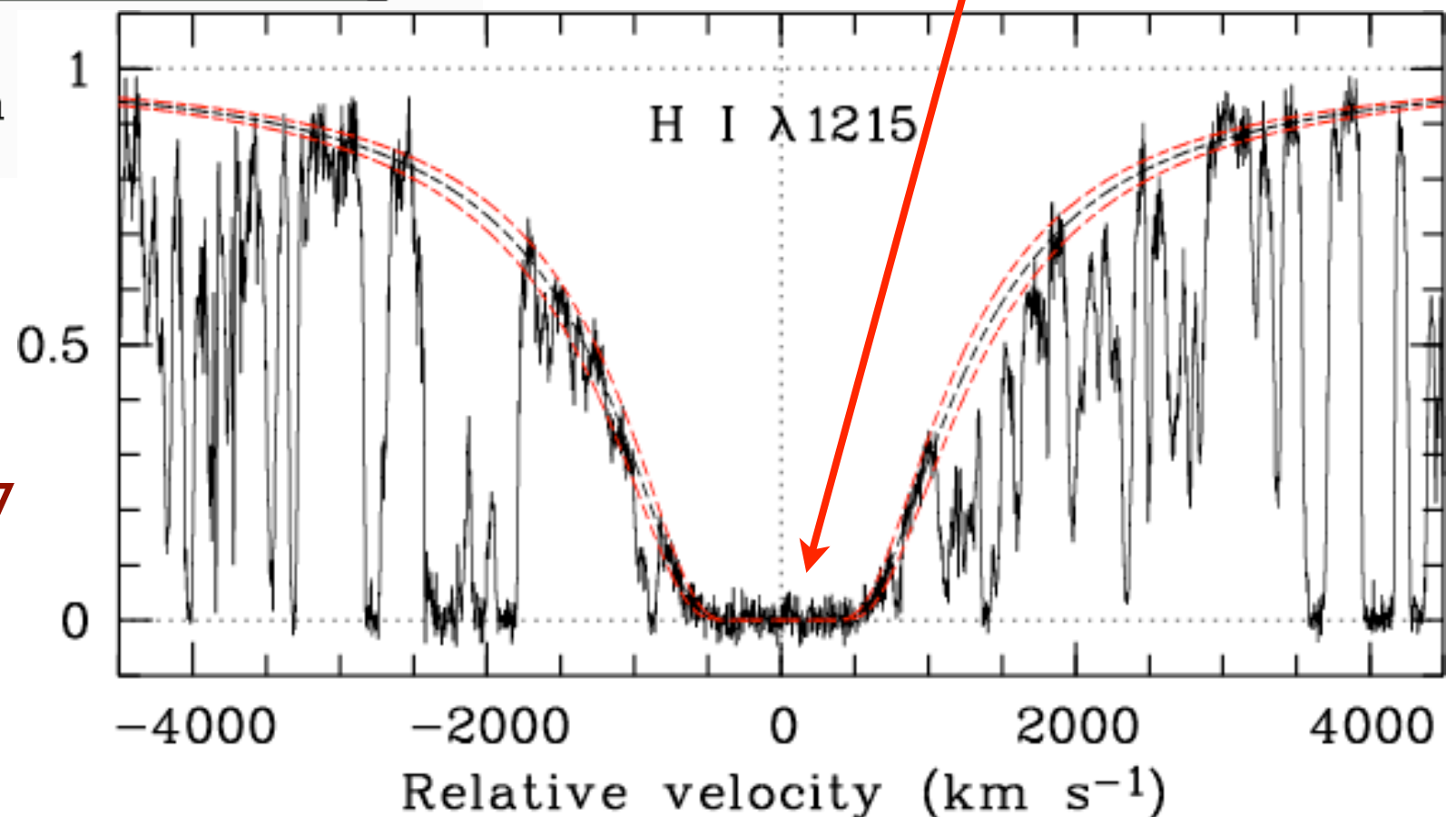


# example: absorption by neutral H in the Intergalactic Medium



Small column density (atoms  $\text{cm}^{-2}$ ):  
Absorption in Ly $\alpha$  in afterglow of  
GRB 060206 ( $z = 4.408$ ; Fynbo et al. 2006)

note 'saturation'!



High column density:  
Absorption in quasar HE2318-1107  
at  $z = 1.989$   
(Noterdaeme et al. 2007)

# other broadening mechanisms:

## Zeeman

Zeeman

$$\Delta E \sim \frac{e\hbar}{2m_e c} B = \mu_B B$$

thermal Doppler

$$\frac{\Delta E}{E} \sim \left( \frac{kT}{Mc^2} \right)^{1/2}$$

so Zeeman visible for

$$B > 2 \times 10^7 (M/56m_p)^{-1/2} (T/10^7 \text{ K})^{1/2} E_{\text{keV}} \text{ Gauss}$$

and once  $\mu_B B \gg$  atomic binding: Landau quantization

Stark, or pressure broadening:  
effect on atomic line profile due to interaction  
with other charges in the plasma

very simple ‘impact model’ (“collision broadening”):  
harmonic oscillator phase ‘reset’ by random collisions:  
Lorentzian profile, width  $\sim$  collision frequency:

$$\nu_{\text{collision}} = 1/\tau_{\text{collision}} \sim n_e \sigma v$$

cross section  $\sim r_{\text{closest approach}}^2$ ; from  $1/2 m_e v^2 = Ze^2/r$  :

$$\nu_{\text{collision}} = \frac{4\pi n_e Z^2 e^4}{m_e^{1/2} (3kT)^{3/2}}$$

$$\Rightarrow \Delta E \sim 0.02 (Z/26) (n/10^{23}) (T/10^7)^{-3/2} \text{ keV}$$

*note the steep T-dependence!*

$$\Rightarrow \Delta E \sim 0.02(Z/26)(n/10^{23})(T/10^7)^{-3/2} \text{ keV}$$

compare to thermal width:

$$\begin{aligned} \Delta E &= \left( \frac{kT}{M_i c^2} \right)^{1/2} E = \\ &= 1.3 \times 10^{-4} (M/56)^{-1/2} (T/10^7)^{1/2} E_{\text{keV}} \text{ keV} \end{aligned}$$

pressure broadening dominates line profile  
in typical neutron star atmosphere!!



other limit: effect of stationary ions: Stark effect

external electric field ***E*** on bound electron,  
(orbital) dipole moment ***p***:

$$\Delta E_{\text{Stark}} \sim -\mathbf{p} \cdot \mathbf{E}$$

For ***E***, use “nearest neighbor field”:

$$\begin{aligned}\Delta E_{\text{Stark}} &= 6 \left( \frac{4\pi}{3} \right)^{2/3} \frac{a_0 e^2 z}{Z} n^{2/3} \\ &= 0.9 (Z/26)^{-1} (n/10^{23})^{2/3} \text{ eV}\end{aligned}$$

so line core likely dominated by ion broadening,  
wings by electron collision broadening

# relation to pressure ionization

been measured up to fields of about  $10^6$  Volt/cm and the presence of order term in (52.5) has been verified at the higher field strength

**E-field**

wavelength

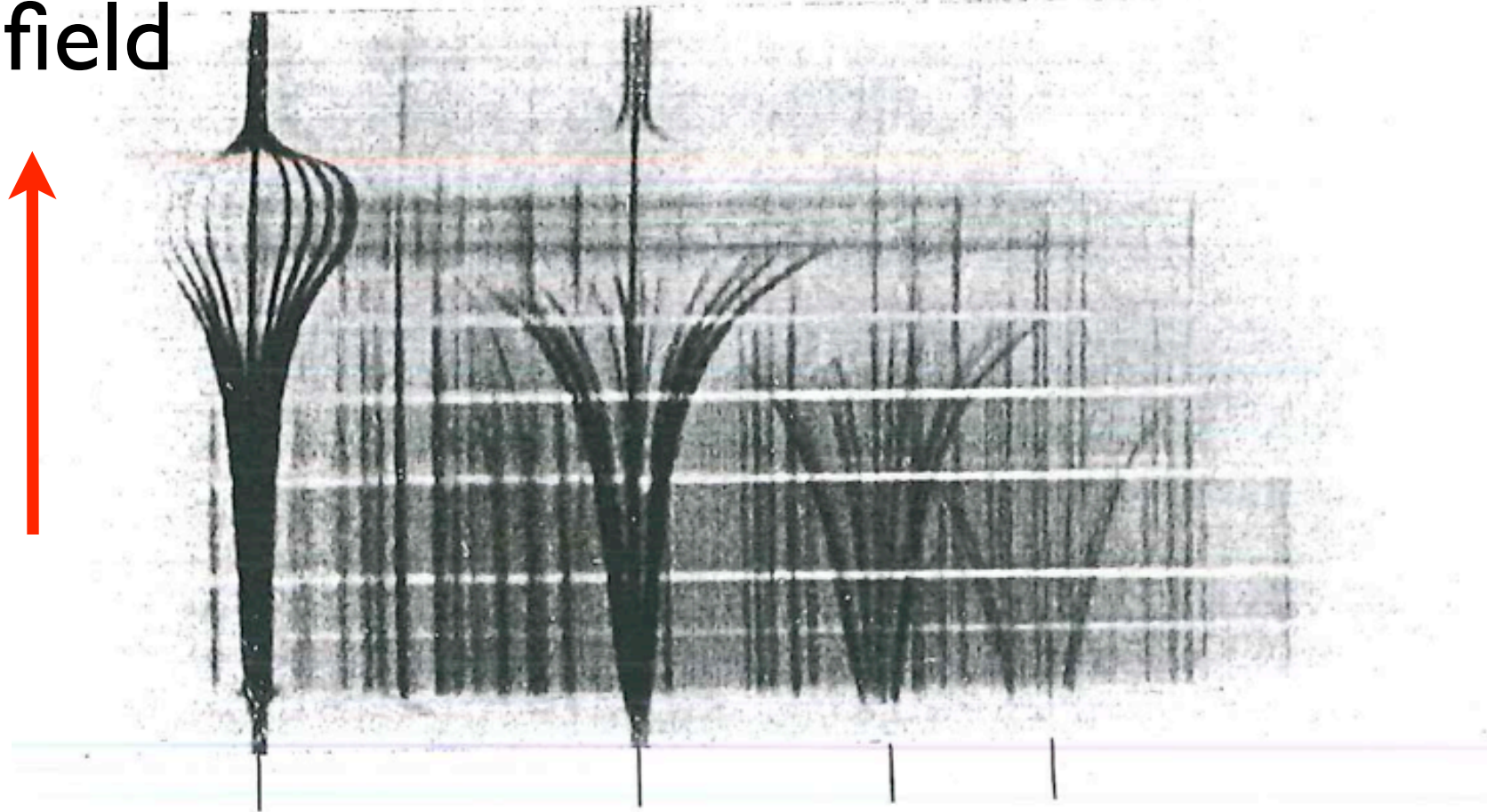
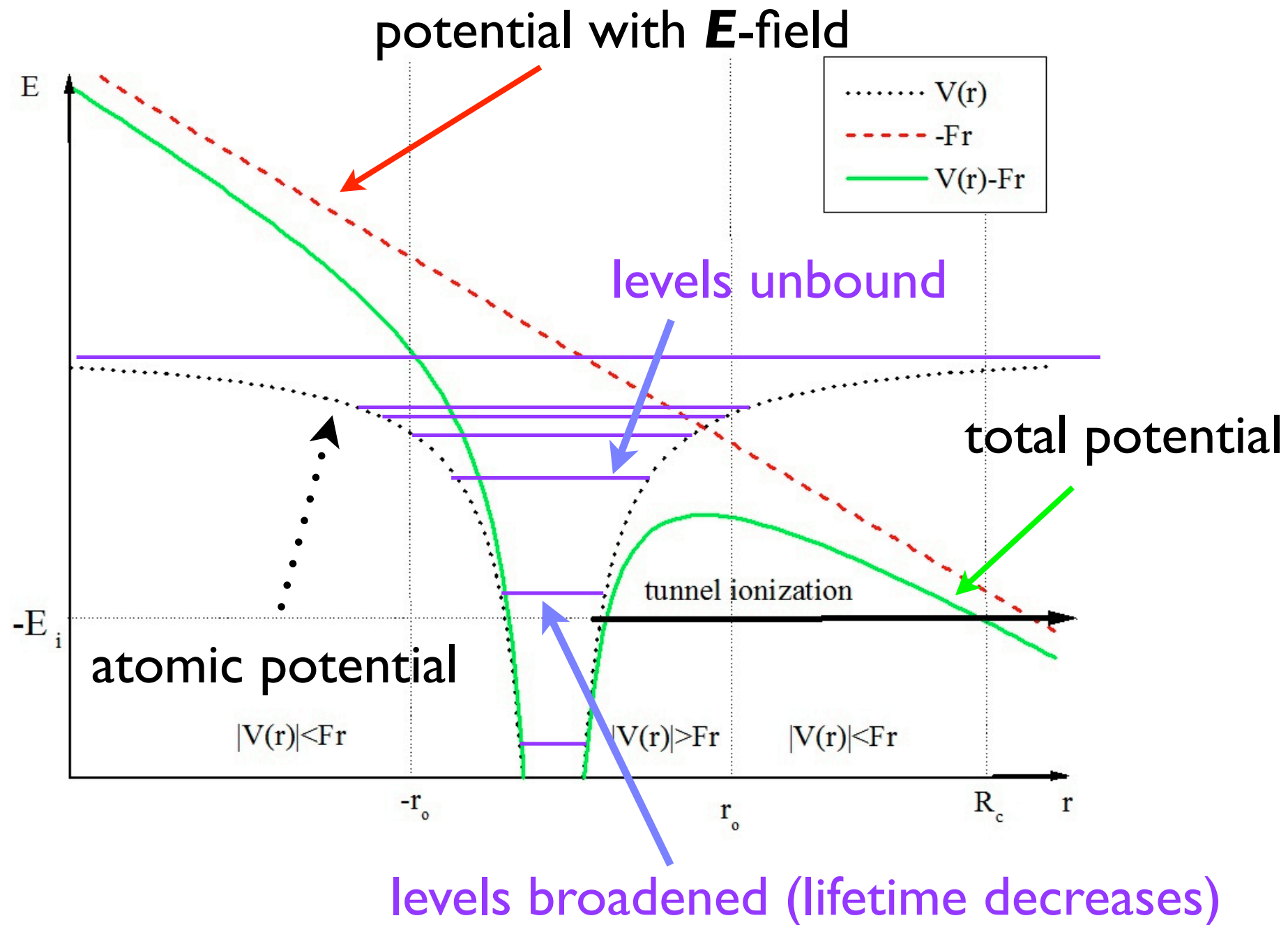


Fig. 26. STARK effect on some of the BALMER lines (experiments by RAUSCH v. TRAUBENBERG). The electric field strength increases from the bottom of the picture upwards, the maximum value (a little below the top of the picture) is 1.14 million Volt/cm, the horizontal white lines are lines of constant field strength.

of the STARK effect in the BALMER series in Fig. 26. The field increases from the bottom picture towards the top. The maximum field is  $1.1 \times 10^6$  Volt/cm. Note that the compressed side (to the left) free (vertical) lines more than the violet (quadratic STARK) also that each line above a critical field. This quenching of discussed in Sect. 5

*so p-ionization also has a spectroscopic signature*

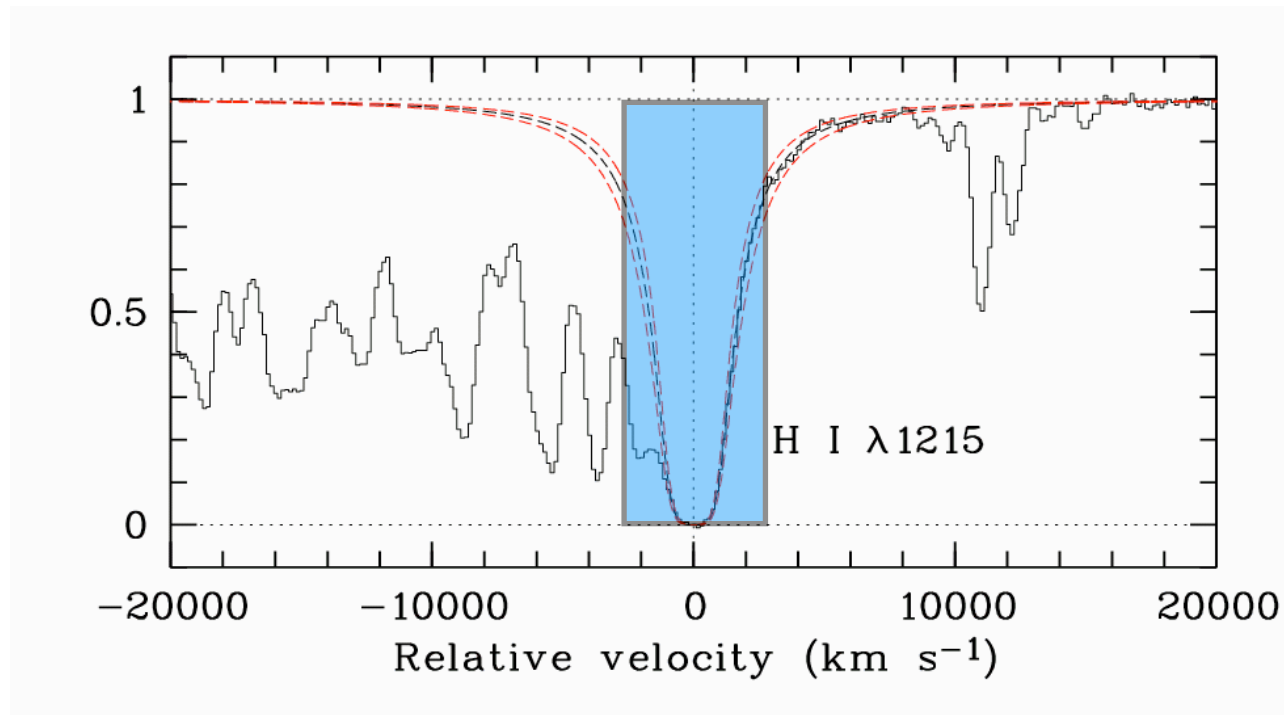
# relation to pressure ionization



p-ionization also has a spectroscopic signature

equivalent width and ‘curve of growth’

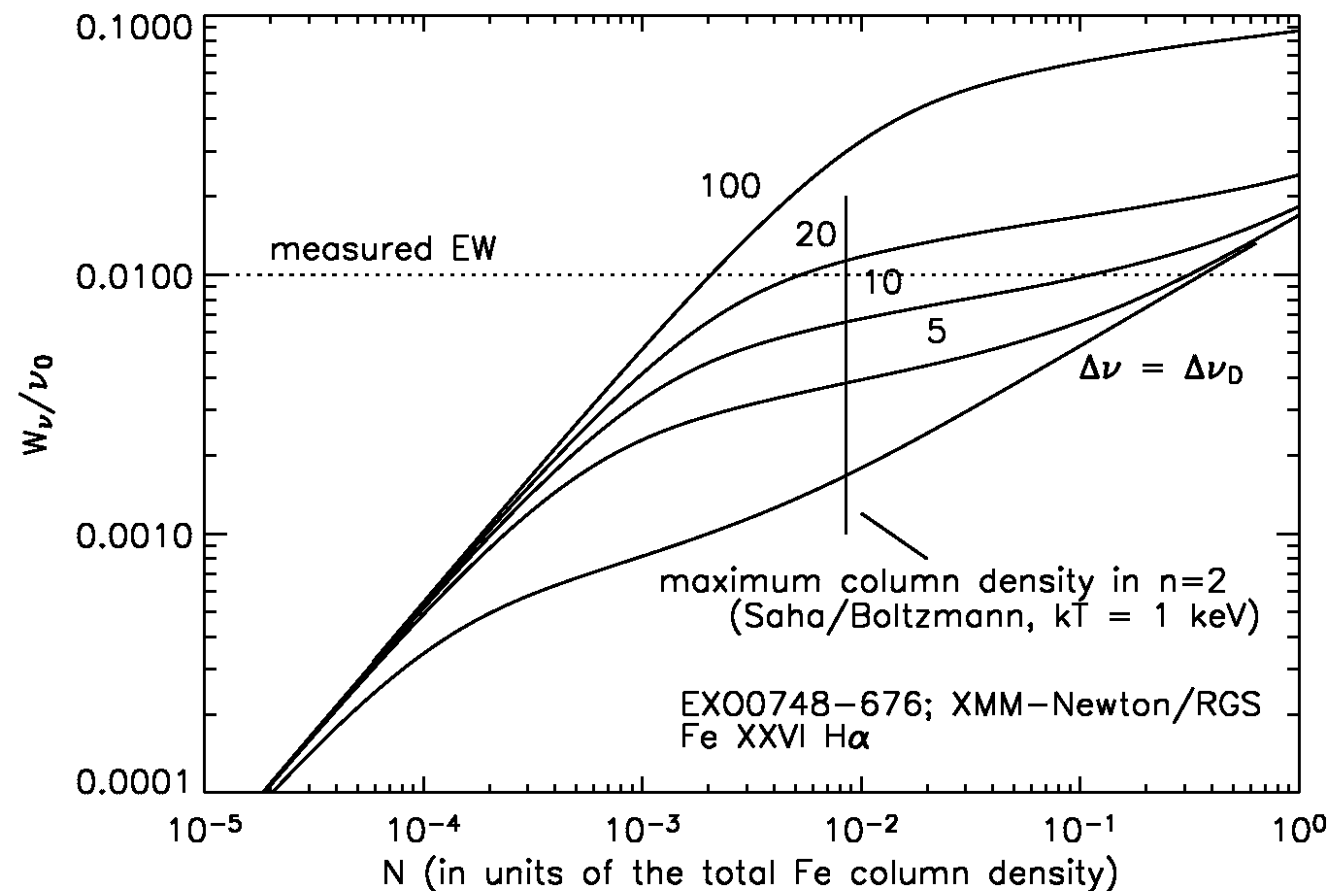
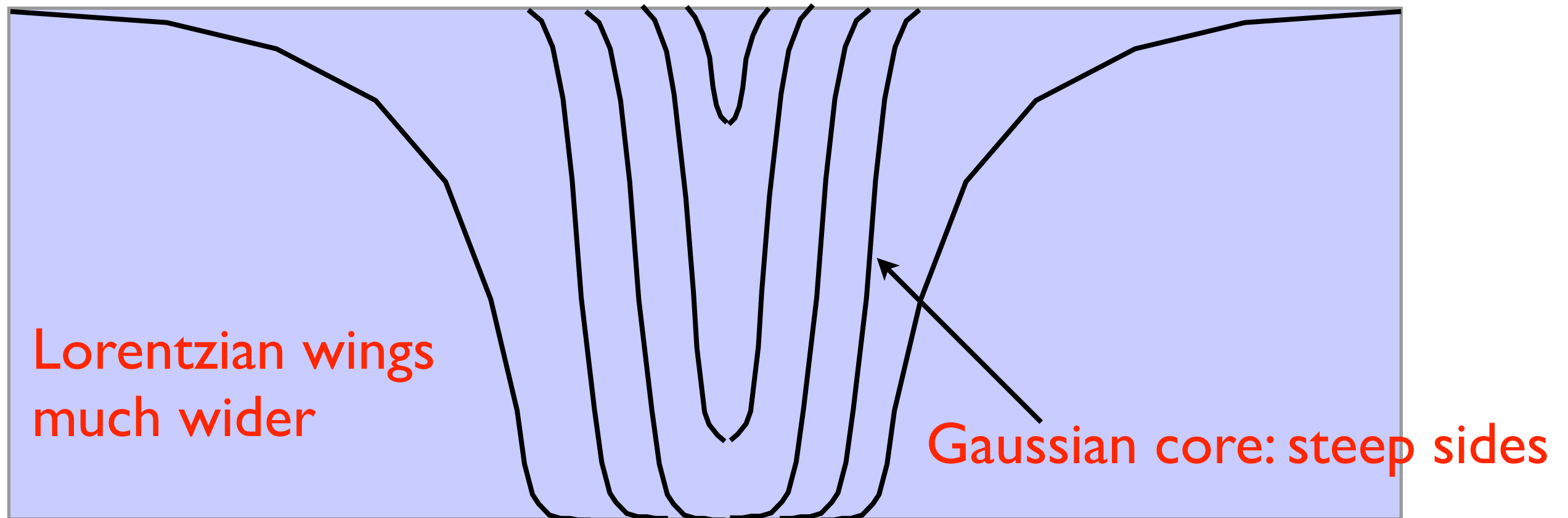
we discussed *linewidth*, but what about ‘*contrast*’?



width of the blue rectangle: ‘equivalent width’ (EW);  
measured in wavelength, frequency or velocity units  
very useful: **EW invariant under instrument resolution**



what happens when lines get darker ('more absorbers') ?



'curve of growth':  
EW vs. absorber column density

other broadening mechanisms:  
rotation (NS spin)

When does spin dominate line width?

Set spin Doppler broadening equal to  
collision broadening: spin dominates

for  $\nu_{\text{spin}} \gtrsim 100 \text{ Hz}$

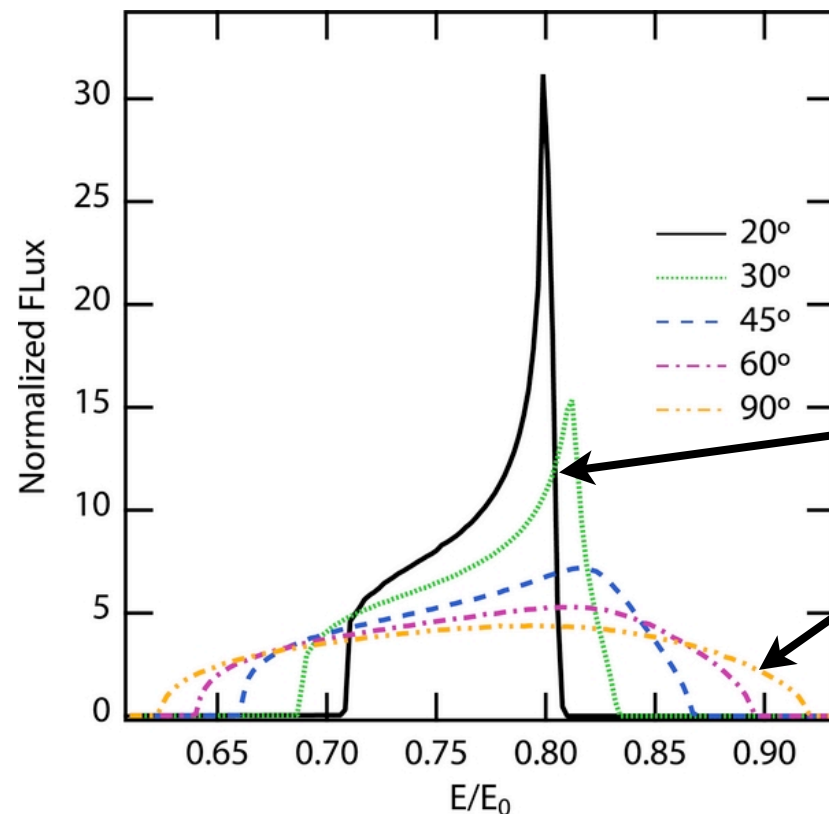
NB: spin broadening does not change the EW  
(to first order in  $v/c$ ), so Stark sensitivity  
preserved.

But a very broad, shallow line is hard to detect...

other broadening mechanisms:  
rotation (NS spin)

spin measurement is itself major spectroscopic item:  
with  $\nu_{\text{spin}}$ , can get  $R$ !!

GR makes this even more interesting!



self-lensing, beaming, distortion  
of star due to spin (effect on  $z_{\text{grav}}$ )

near 'pole on'

seen in equatorial plane

Bauböck, Psaltis, Özel 2013

will this work??

what do we know about chemical composition?

pure H? (gravitational settling)

radiative levitation of heavy ions?

pure C? (slow downward diffusion & burning of H)

pure Fe?

accreting NS: if  $dM/dt$  large enough:

abundance equal to accreting gas



what do we know about chemical composition?

accretion/diffusion equilibrium

$$\left(\frac{Z}{H}\right)^{\text{star}} = \left(\frac{Z}{H}\right)^{\text{accretion}} \frac{\dot{M}}{\dot{M} + 4\pi R^2 \rho v_D}$$

$$\frac{L}{L_{\text{Eddington}}} = \frac{\dot{M} \sigma_T}{4\pi R m_p c} \approx 1 \times 10^{-2} (\dot{M} / 10^{16} \text{ g s}^{-1})$$

**so**

$$\dot{M} \gg 4\pi R^2 \rho v_D = 2 \times 10^{12} (n/10^{23}) (v/1 \text{ cms}^{-1})$$

as long as  $L > 0.01 L_{\text{Eddington}}$

2.2

# Neutron Star Atmospheres

# a few definitions

definition of effective temperature:

$$\sigma_{\text{SB}} T_{\text{eff}}^4 = F \text{ (total flux)}$$

for a blackbody,  $T_{\text{eff}} = T_{\text{BB}}$

$$F \equiv \int_0^{\infty} F_{\nu} d\nu$$

# “stellar atmosphere”

Self-gravitating gas sphere,  
Hydrostatic Equilibrium:

$$\frac{dP(r)}{dr} = -\rho g$$

Near surface,  $g$  constant; assume ideal gas:

$$P = nkT = \frac{\rho kT}{\mu m_p} \Rightarrow$$

$$\frac{d\rho}{dr} = -\frac{\rho}{H}; \quad H \equiv \frac{kT}{\mu m_p g}$$

Note: at very high density, phase transition to solid surface may occur!

the 'scale height',  $H$ :

Sun:  $T = 5800 \text{ K}$ ,  $g = 3 \cdot 10^4 \text{ cm/s}^2$ :

$$H = 1.6 \cdot 10^7 \text{ cm, or } H/R \sim 2 \cdot 10^{-4}$$

neutron star:  $T = 10^7 \text{ K}$ ,  $g = 1 \cdot 10^{14} \text{ cm/s}^2$ :

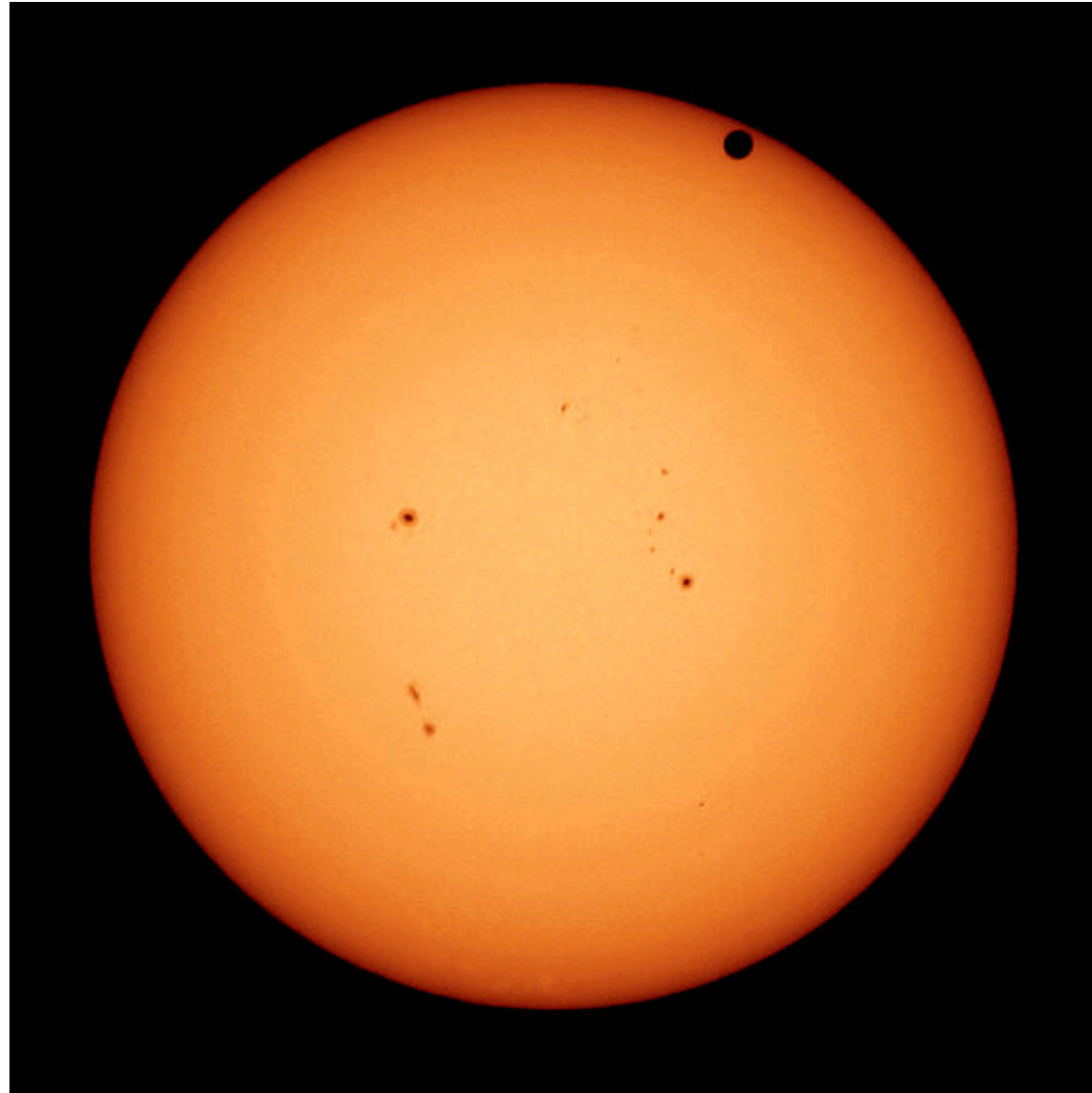
$$H = 8 \text{ cm!! or } H/R \sim 10^{-5}$$

Atmosphere is the thin layer where  
the pressure/density  $\sim$ exponentially fall to zero

'photosphere' is of comparable extent:

layer from which photons can escape

stellar limb is sharply defined



and a star darkens toward the limb:

‘limb darkening’; darkening is different in different colors

viewing direction, disk center

viewing direction, limb

**cooler gas**

one photon mean free path

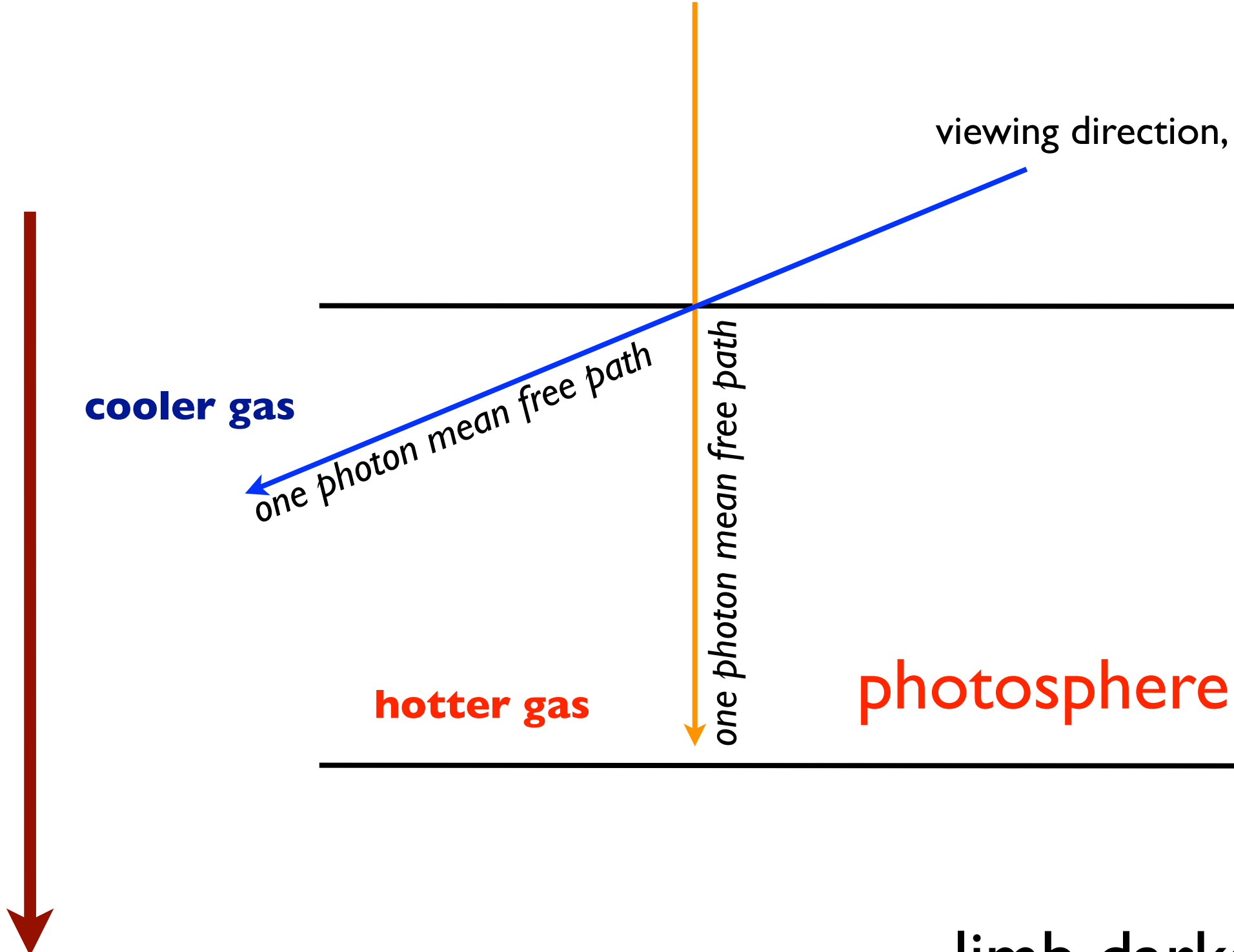
**hotter gas**

one photon mean free path

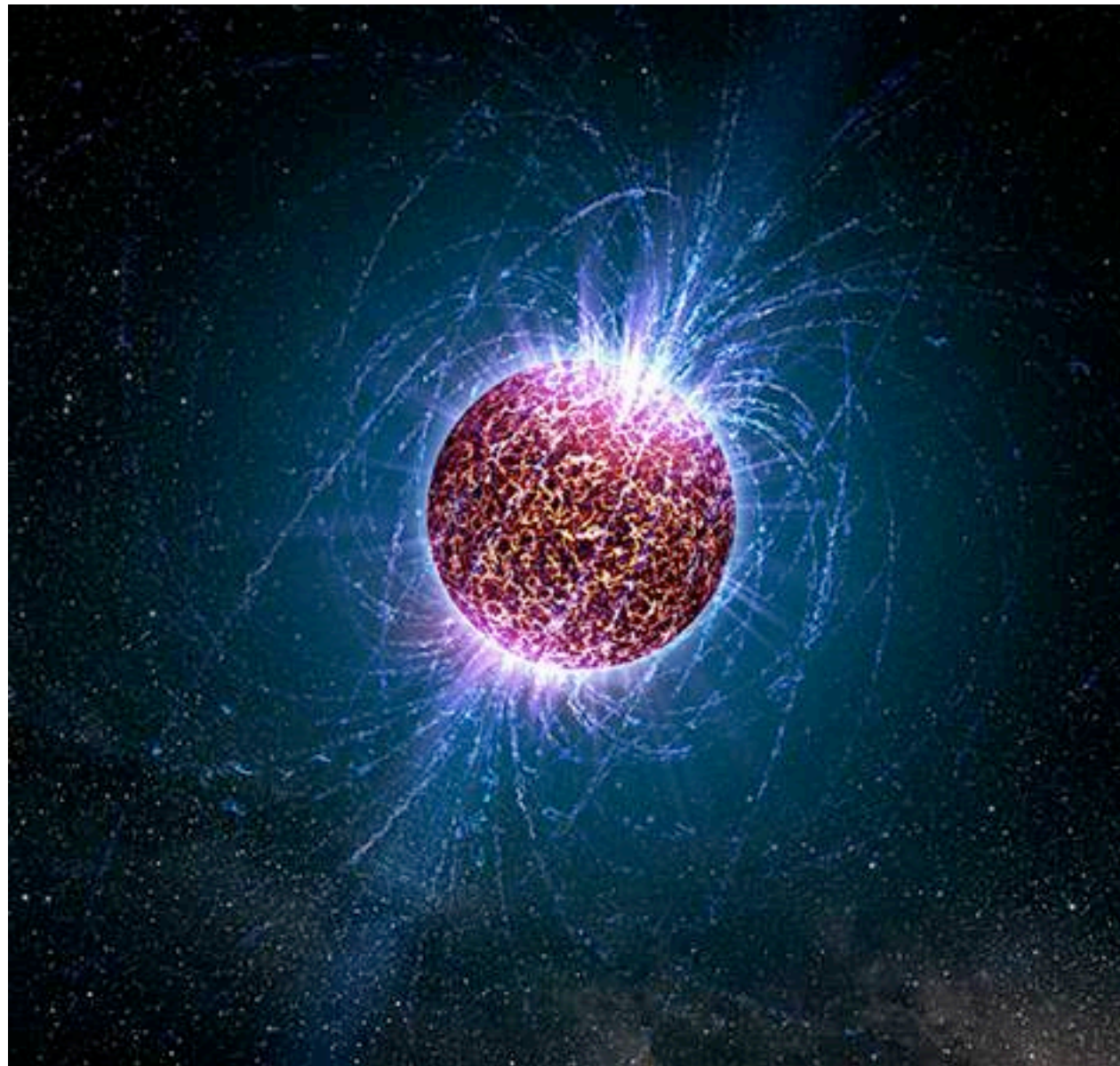
**photosphere**

temperature gradient

limb darkening,  
due to drop in emissivity  
toward top of atmosphere



NB: this is obviously also important  
if the viewing angle *changes* with time!!!





# neutron star atmosphere: some more fiducial numbers

characteristic *density*:

$$\frac{dP}{dr} = -\rho g \Rightarrow \frac{1}{\kappa \rho} \frac{dP}{dr} \equiv \frac{dP}{d\tau} = \frac{g}{\kappa}$$

( $\kappa$ : ‘opacity’;  $\kappa \rho = n\sigma$ ;  $d\tau = -\kappa \rho dr$ ;  $\tau$ : “optical depth”)

Integrate from  $\tau = 0$  to  $\tau = 1$ , set  $P(\tau = 0) = 0$ ;

assume  $\kappa$  constant:

$$P(\tau = 1) = \frac{g}{\kappa} \Rightarrow$$

$$n(\tau = 1) = \frac{g}{\kappa k T} = 2 \times 10^{23} (g/10^{14}) (\kappa/0.4)^{-1} (T/10^7)^{-1} \text{ cm}^{-3}$$



and, not surprisingly, mean free path for photon:

$$\Delta\tau = 1 \Rightarrow \kappa\rho l = 1 \Rightarrow l = \frac{1}{\kappa\rho} \sim 8 \text{ cm}$$

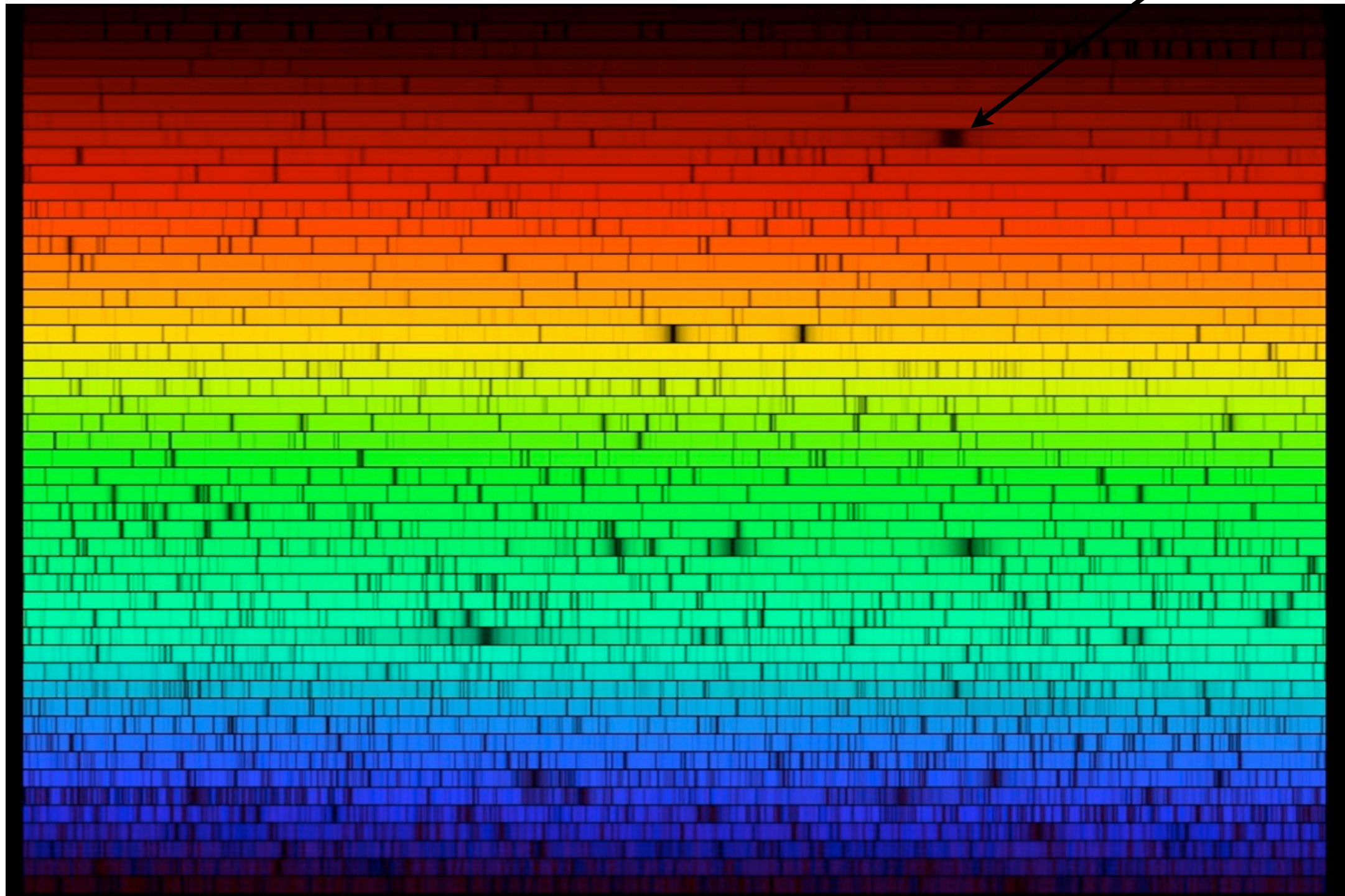
since this is the same expression as for H, but in different form.

Other than neutrino's, gravitational waves (?),  
or seismological data:

the only information on a star we have is  
in the radiation that passed through its atmosphere

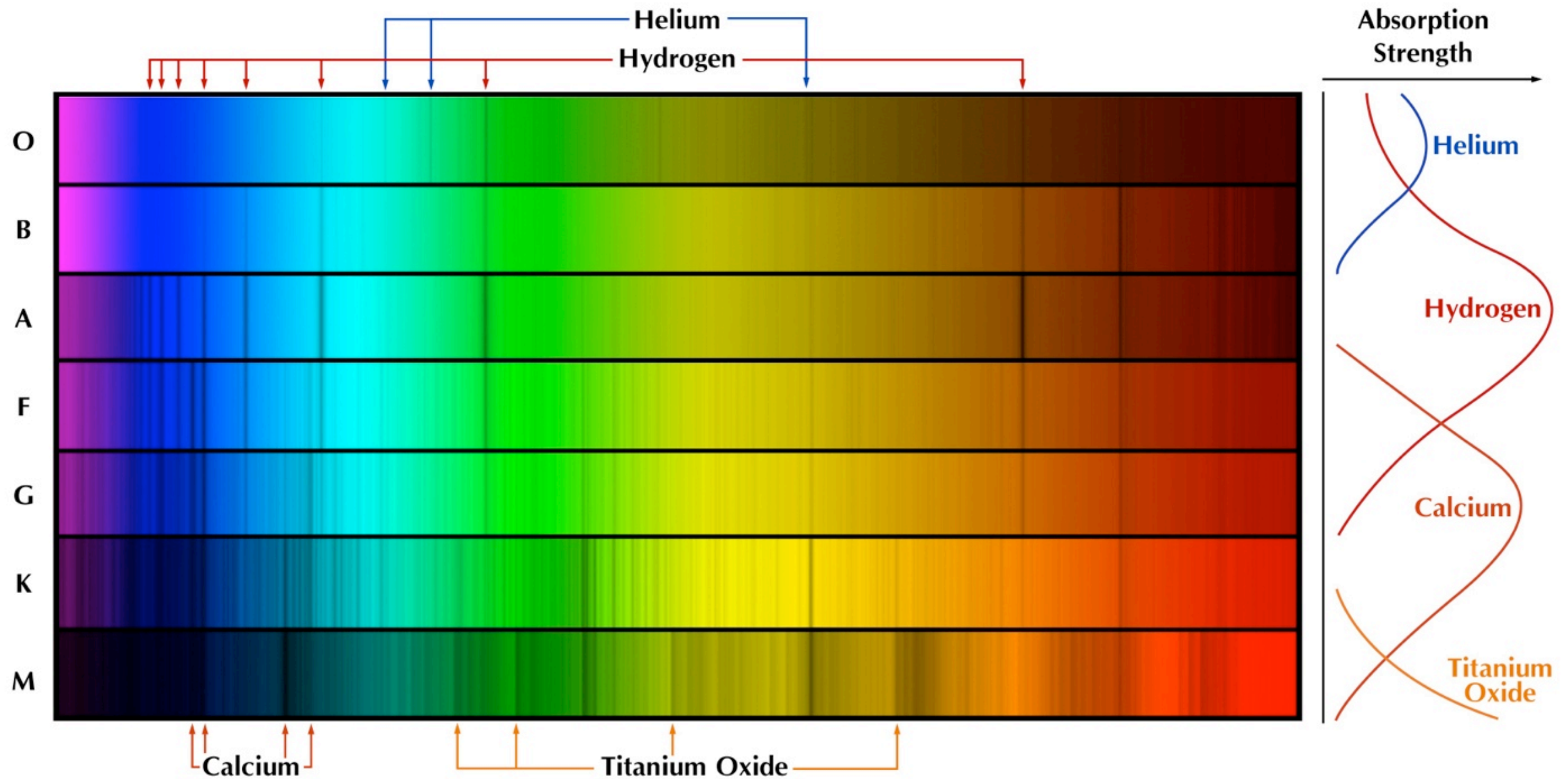
# Stellar Spectroscopy

H $\alpha$



the optical Solar spectrum

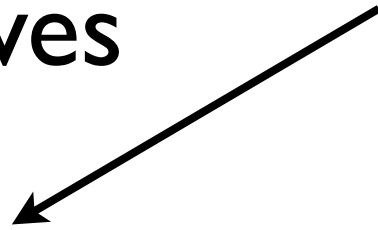
credit: NOAO/AURA



example stellar spectra ranked by color (blue-red),  
i.e. effective temperature, i.e. “spectral type” (OBAFGKM)

don't need to observe all frequencies

careful study gives



correct luminosity (if distance known) from  $T_{\text{eff}}$  and  $R$

chemical abundances

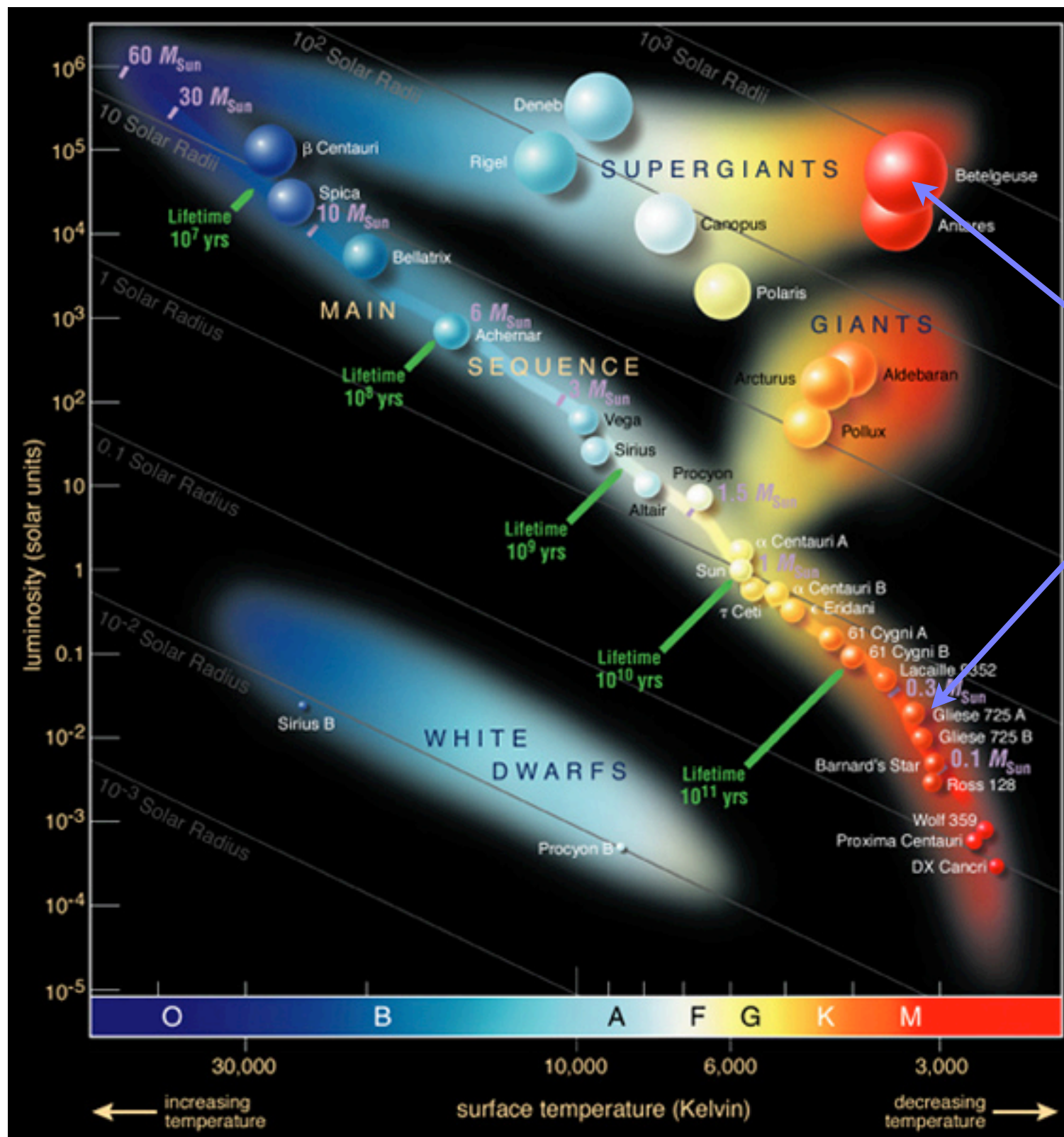
rotation rate (from absorption line Doppler broadening)

surface gravity

but masses come from binary orbital dynamics only...

(not true for neutron stars, as we will see)





these stars have very similar spectra (same  $T_{\text{eff}}$ ); how do we know whether they are dwarfs or giants?

example of the power of stellar spectroscopy

the Hertzsprung-Russell Diagram

## Spectra for stars of spectral type A0.

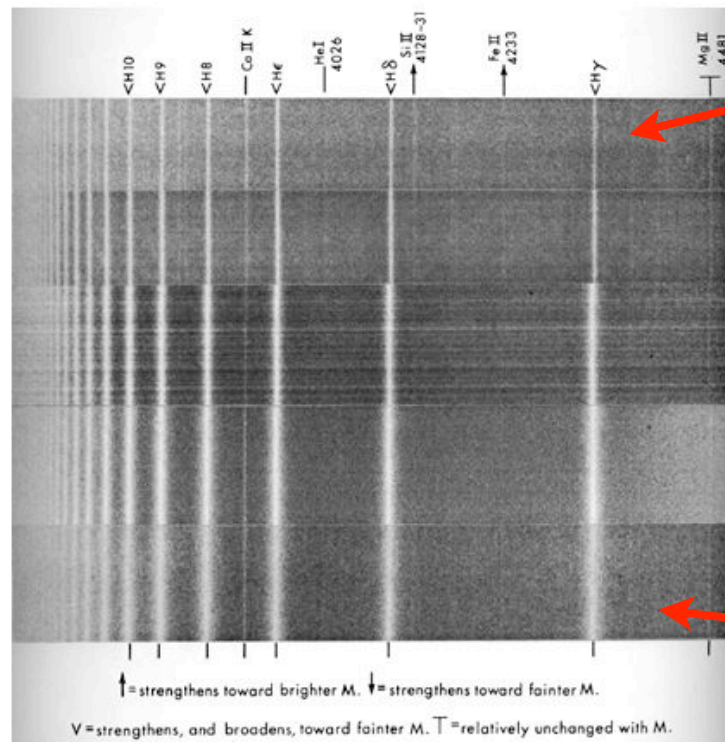
A0 Ia

A0 Ib

A0 III

A0 Va

A0 Vb

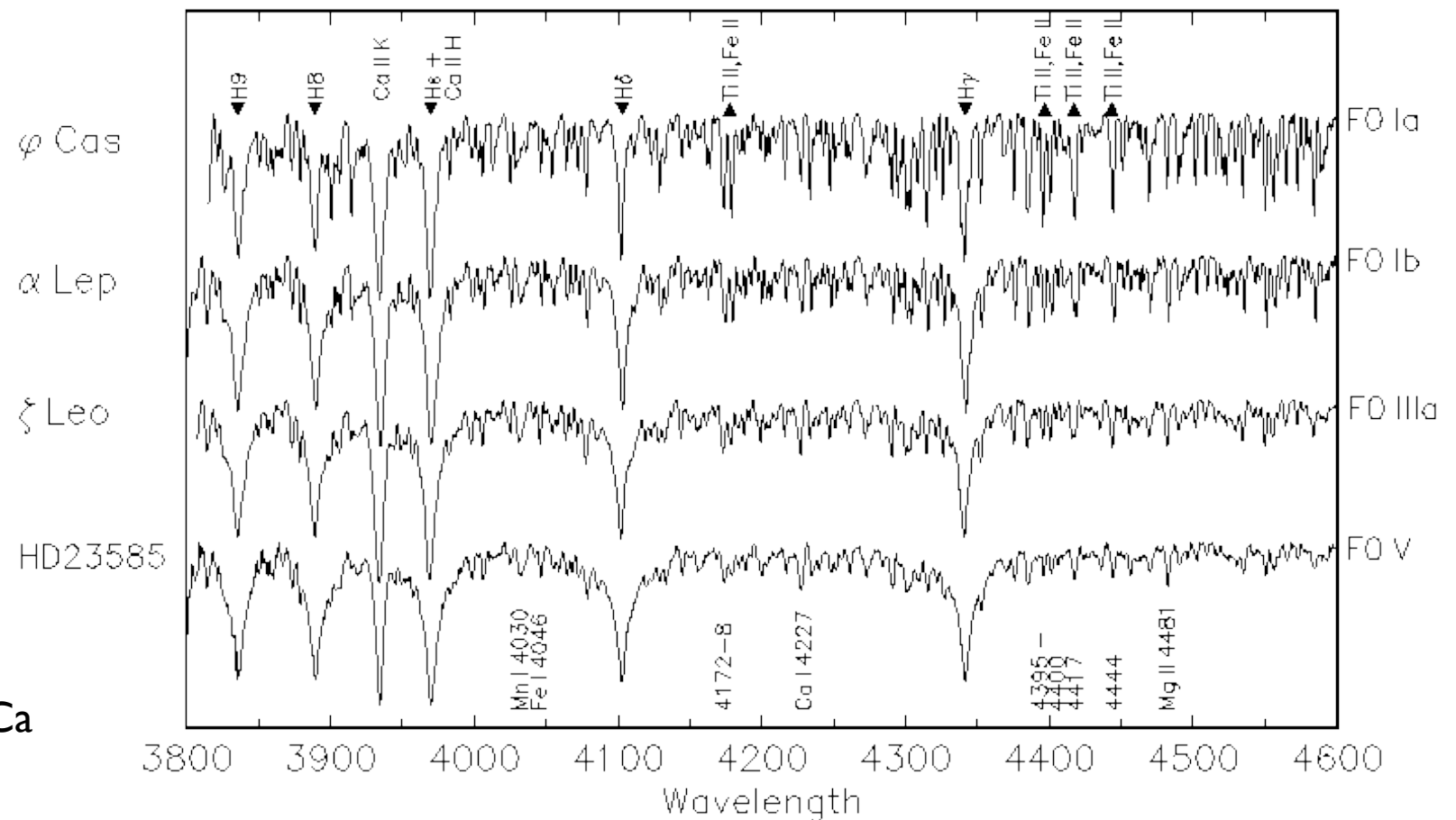


low density atmosphere (low  $g$ )

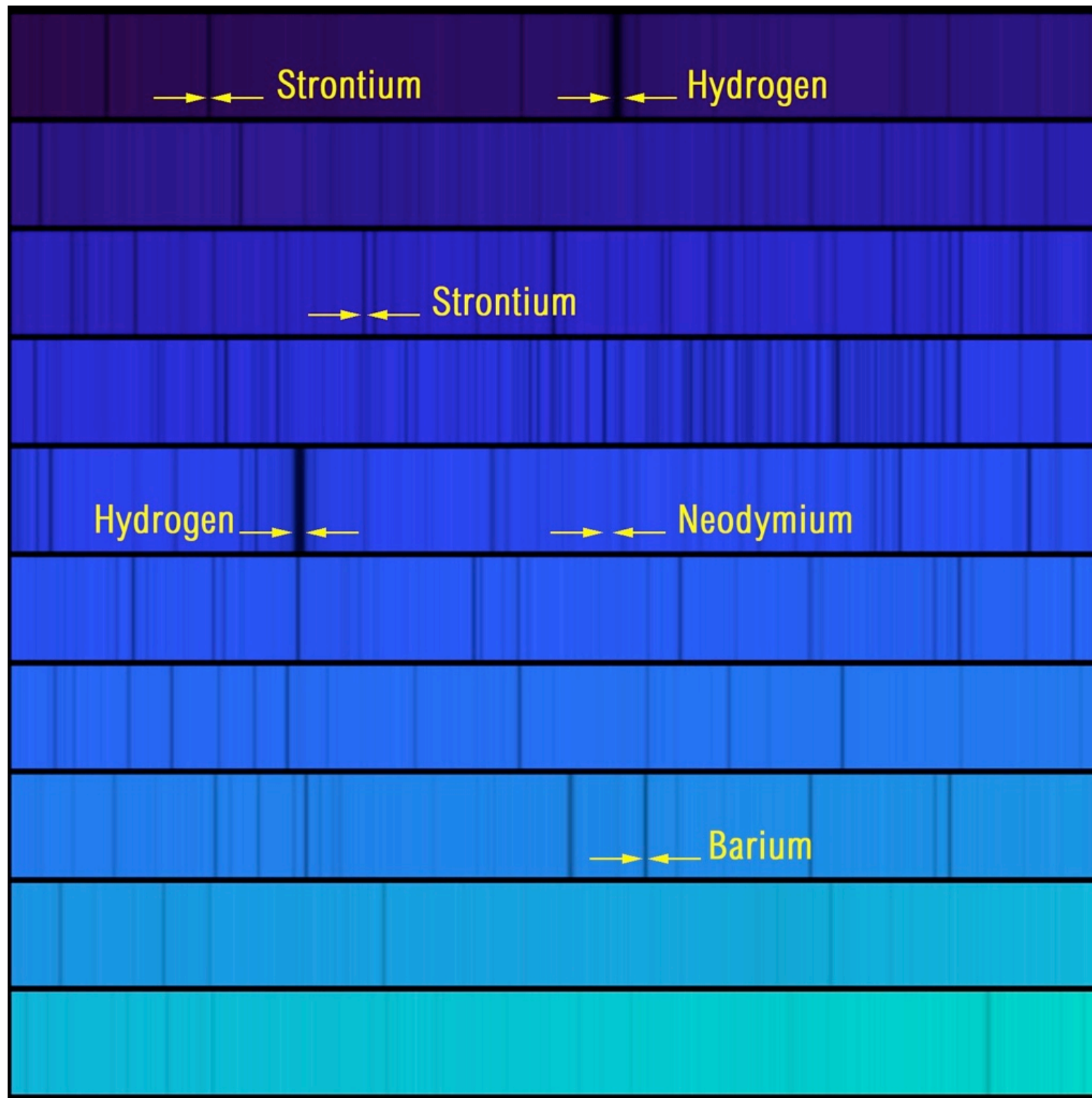
high density atmosphere (high  $g$ )

Luminosity Effects at F0

pressure broadening and  
 $\log g$  in  
classical stellar  
spectroscopy



credit SMU/Ca



spectrum of HD 126587, 4066-4710 Å



structure of a stellar atmosphere,  
and the emergent intensity spectrum

Simplest case:

mechanical equilibrium  
radiative equilibrium (radiation flux conserved)  
(plane parallel geometry):

$g$ ,  $T_{\text{eff}}$ , composition specify unique model

generally considered exotic (but relevant to our case!):  
inhomogeneous composition

General Relativistic effects (changing  $z$ ?)

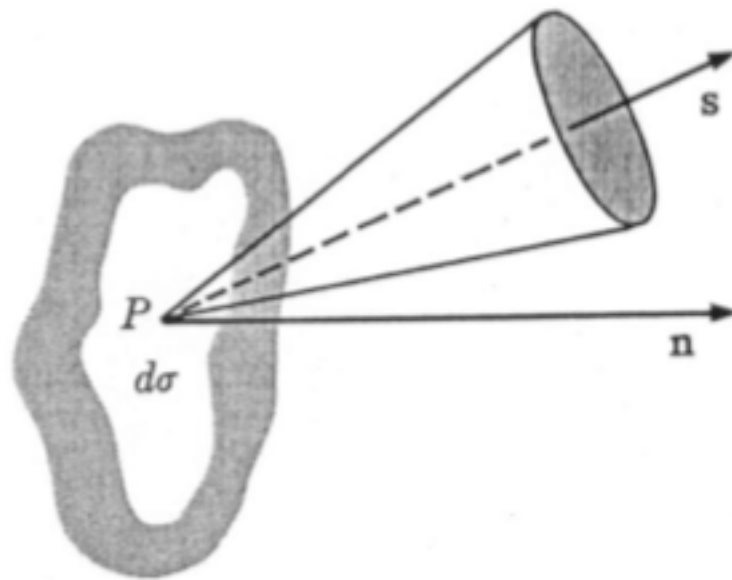
....

definition of effective temperature:  $\sigma_{\text{SB}} T_{\text{eff}}^4 = F$  (total flux)

# Equation of Radiative Transfer

ignoring polarization, the radiation field is completely specified by the **monochromatic intensity,  $I_\nu(\mathbf{n})$**

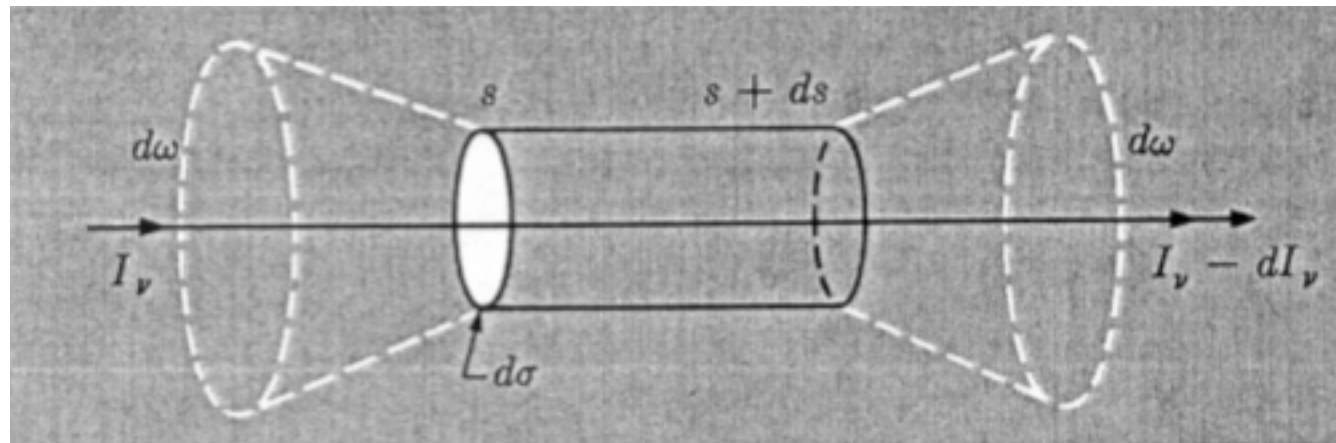
( $\nu$  is the radiation frequency,  $\mathbf{n}$  a direction vector)



$I_\nu(\mathbf{n})$ :  $\text{erg cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \text{ Hz}^{-1}$   
flowing along  $\mathbf{n}$

recommended text: J.T. Jefferies, *Spectral Line Formation*  
default text: D. Mihalas, *Stellar Atmospheres*

# Equation of Radiative Transfer



$$\frac{dI_\nu}{ds} = -\kappa_\nu \rho I_\nu + \rho j_\nu \quad (ds \text{ along } \mathbf{n})$$

energy lost from the beam

$\kappa_\nu$ :  $\text{cm}^2 \text{ gr}^{-1}$

$j_\nu$ :  $\text{erg gr}^{-1} \text{ s}^{-1} \text{ sr}^{-1} \text{ Hz}^{-1}$

energy added to the beam  
(may also be radiation scattered into the beam)

(it's just the Boltzmann Equation for photons!)

# Equation of Radiative Transfer

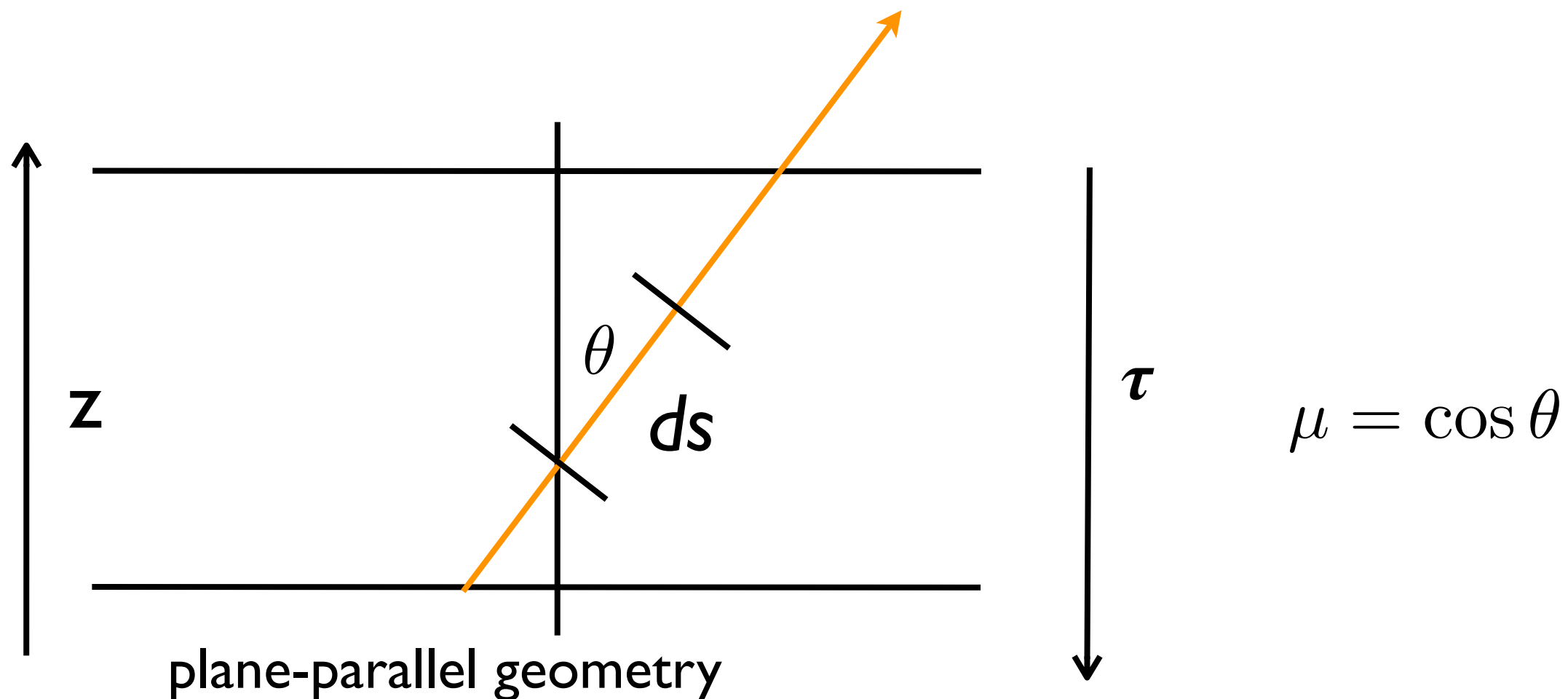
$$\kappa_\nu \rho \, ds \equiv -d\tau_\nu / \mu \quad \Rightarrow$$

note the minus sign!

$$\mu \frac{dI_\nu}{d\tau_\nu} = I_\nu - \frac{j_\nu}{\kappa_\nu} \equiv I_\nu - S_\nu$$

$S_\nu$  is the 'source function'

*radiative transfer problems often difficult because  $S$  depends on  $I$*



Illustrative analytical example:

set  $S_\nu = a + b\tau_\nu$

$$\mu \frac{dI_\nu}{d\tau_\nu} = I_\nu - S_\nu = I_\nu - (a + b\tau_\nu)$$

Use integrating factor  $\exp(\tau_\nu/\mu)$  and integrate between 0 and  $\infty$ :

$$I_\nu(\tau_\nu = 0) = a + b\mu = S_\nu(\tau_\nu = \mu)$$

so, e.g., at normal incidence ( $\mu=1$ ):

**emergent intensity equals  $S_\nu$  at  $\tau_\nu = 1$  !**

Also note how this elegantly explains limb darkening!

the source function and ‘LTE’

in Thermodynamic Equilibrium, at temperature  $T$ :

$$\frac{j_\nu}{\kappa_\nu} = B_\nu(T)$$

with  $B_\nu$  the Planck function (Kirchhoff’s Law).

In presence of a mild  $T$ -gradient, as long as

$T (dT/dr)^{-1} \ll$  *photon mean free path*  
and

*all emission thermal (no scattering)*

the emissivity depends only on local conditions:

‘Local Thermodynamic Equilibrium’, LTE.

Then,  $S_\nu = B_\nu(T(\mathbf{r}))$ ; and Saha, Boltzmann eq. apply

***to BB or not to BB?***

at any frequency, see radiation field roughly  
corresponding to  $\tau_\nu \sim 1$ ;

corresponds to different physical depths for different  $\nu$ , (\*)

so even if  $S_\nu = B_\nu(T(\mathbf{r}))$ ,

*the emergent intensity will not be BB!*

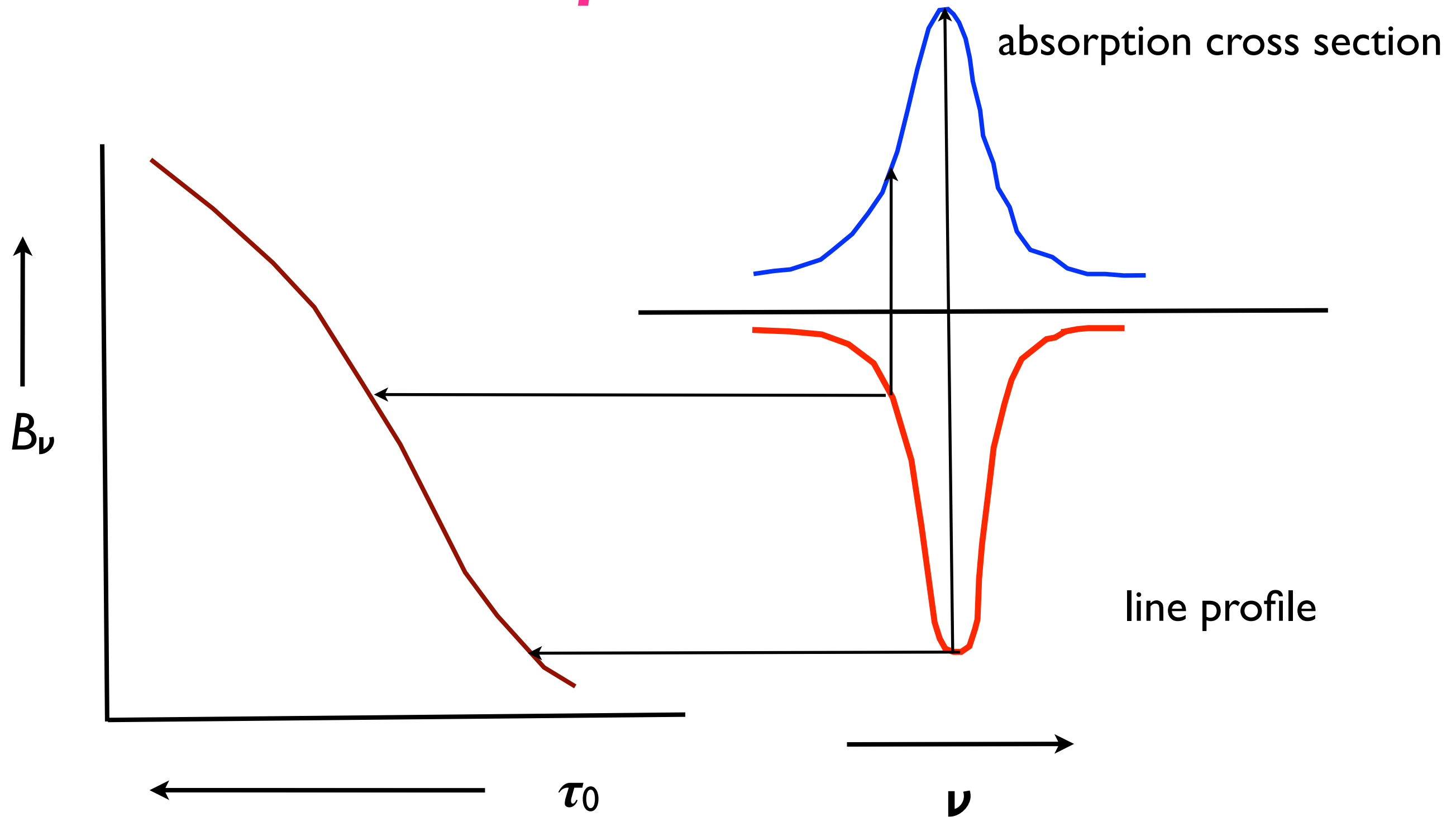
*It will be  $\sim B_\nu(T(\tau_\nu \sim 1))$ !*

(more generally,  $S_\nu(\tau_\nu \sim 1)$  )

We should not expect the NS spectrum to be BB!

(\*) Recall how ff and bf opacities go as  $\nu^{-3}$  !

*this also ~explains appearance of  
absorption lines*





# another classical example: the ‘scattering atmosphere’

assume *isothermal* atmosphere, include electron scattering:

$$S_\nu = \frac{\kappa_\nu B_\nu(T) + n_e \sigma_T J_\nu}{\kappa_\nu + n_e \sigma_T}; \quad J_\nu \equiv \frac{1}{2} \int_{-1}^1 I_\nu d\mu$$

set  $\epsilon_\nu \equiv \frac{\kappa_\nu}{\kappa_\nu + n_e \sigma_T}$

then

$$S_\nu = \epsilon_\nu B_\nu(T) + (1 - \epsilon_\nu) J_\nu$$

introduce moments of  $I_\nu$ ; already seen  $J_\nu$ :

$$H_\nu \equiv \frac{1}{2} \int_{-1}^1 I_\nu \mu d\mu; \quad K_\nu \equiv \frac{1}{2} \int_{-1}^1 I_\nu \mu^2 d\mu$$

# another classical example: the ‘scattering atmosphere’

here is the trick: even in a mildly anisotropic radiation field

$$K_\nu = \frac{1}{3} J_\nu \quad (\text{the ‘Eddington approximation’})$$

so then

$$\frac{1}{3} \frac{d^2 J_\nu}{d\tau_\nu^2} = J_\nu - S_\nu = \epsilon_\nu (J_\nu - B_\nu)$$

and since  $B_\nu$  is constant:

$$\frac{1}{3} \frac{d^2}{d\tau_\nu^2} (J_\nu - B_\nu) = \epsilon_\nu (J_\nu - B_\nu)$$

assume  $\epsilon_\nu$  independent of depth,

# another classical example: the 'scattering atmosphere'

solution:

$$J_\nu - B_\nu = \text{const.} \times \exp(-\sqrt{3\epsilon_\nu}\tau_\nu)$$

fix the constant by using boundary condition at  $\tau_\nu = 0$ :

no incident radiation. Using a 'two stream approximation',  
find: at  $\tau_\nu = 0$

$$J_\nu = \frac{1}{\sqrt{3}} \frac{dJ_\nu}{d\tau_\nu} \Rightarrow \text{const.} = -\frac{B_\nu}{1 + \sqrt{\epsilon_\nu}}$$

now the emergent flux: from the first moment  
of the transfer equation, find

$$H_\nu = \frac{dK_\nu}{d\tau_\nu} = \frac{1}{3} \frac{dJ_\nu}{d\tau_\nu}$$

another classical example: the ‘scattering atmosphere’

so that

$$H_\nu(\tau_\nu = 0) = \frac{1}{\sqrt{3}} \frac{\sqrt{\epsilon_\nu}}{1 + \sqrt{\epsilon_\nu}} B_\nu(T)$$

and for small  $\epsilon_\nu$ :

$$H_\nu(\tau_\nu = 0) \approx \frac{1}{\sqrt{3}} \sqrt{\epsilon_\nu} B_\nu(T)$$

For free-free absorption,  $\epsilon_\nu$  is a steep function of frequency!!

So: *even* in an isothermal atmosphere, when scattering is important, emergent spectrum is **not** a *constant*  $\times B_\nu(T)$ !!!!

So now we have shown:

spectrum is  $B_\nu(T)$  only for the unrealistic case of an isothermal atmosphere without any scattering !

Source function:

depends on the ionization balance  
excitation

in difficult cases, depends on the distant radiation field  
(scattering, photoionization, photoexcitation)

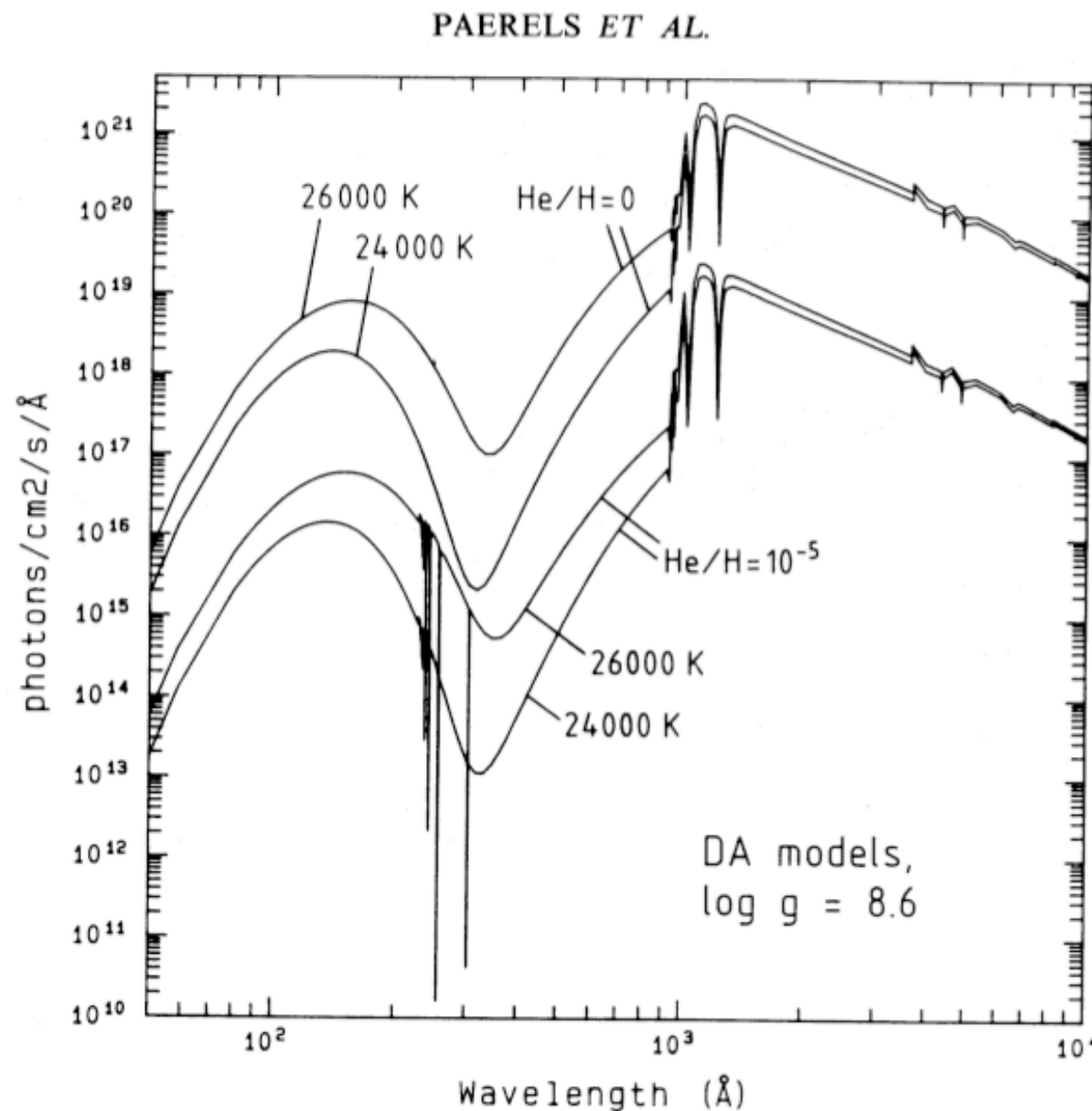
When  $S_\nu$  explicitly depends on the excitation  
balance and/or  $I_\nu$  : “**NLTE**”

*Have to solve the rate equations together with  
radiative transfer...*

*This is a numerical nightmare, but a huge physical bonus!*

example:

simple interpretation of the continuum;  
measurement of  $T_{\text{eff}}$



models for hot, pure H  
white dwarf atmosphere;  
note the H Lyman lines  
and edge, and the peculiar  
shape of the X-ray continuum

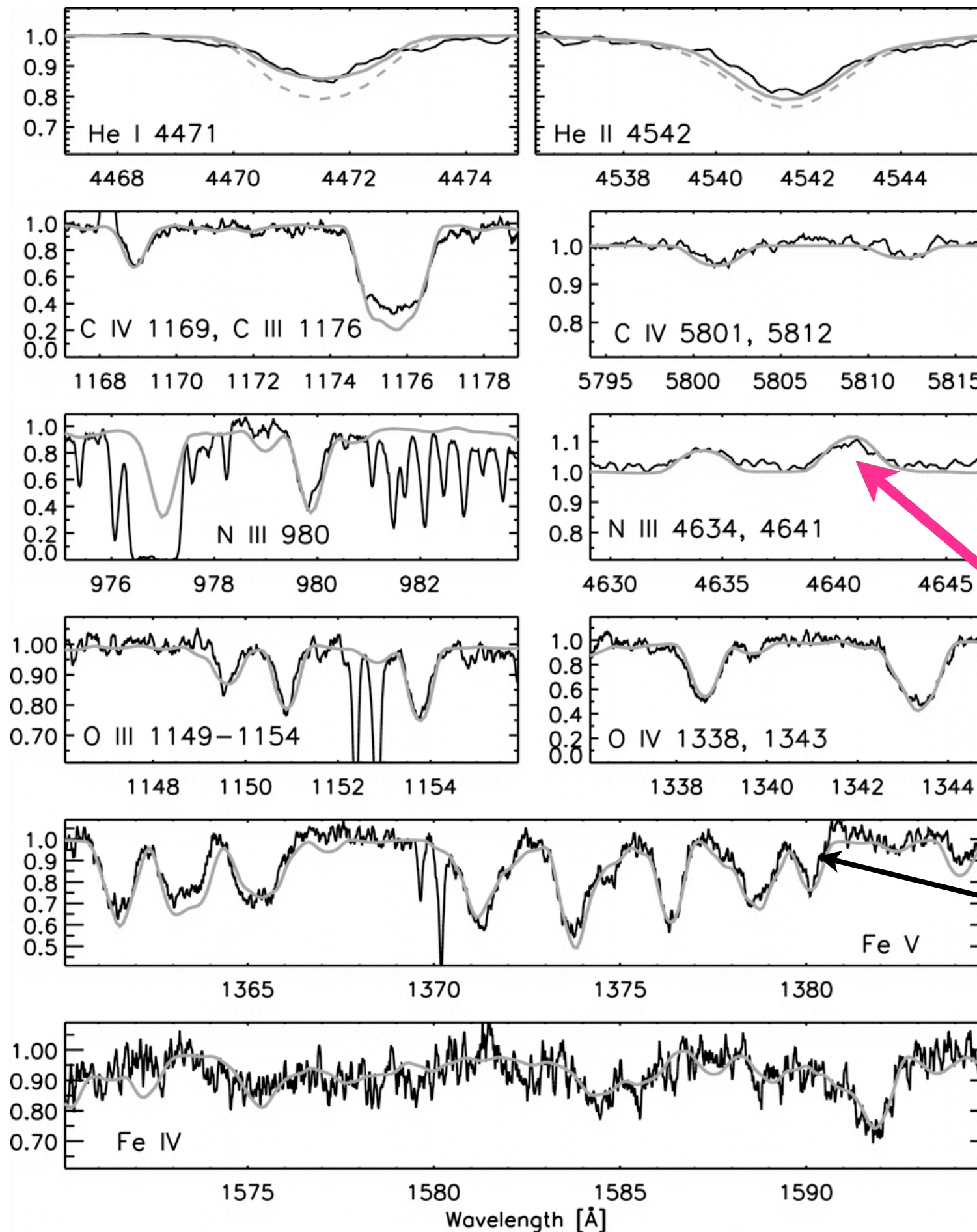
Analysis of the soft X-ray  
spectrum of Sirius B

# O6.5 II(f) star AV 15

Heap, Lanz, Hubeny (2006)

$T_{\text{eff}} = 37,000 \text{ K}$

$\log g = 3.5$



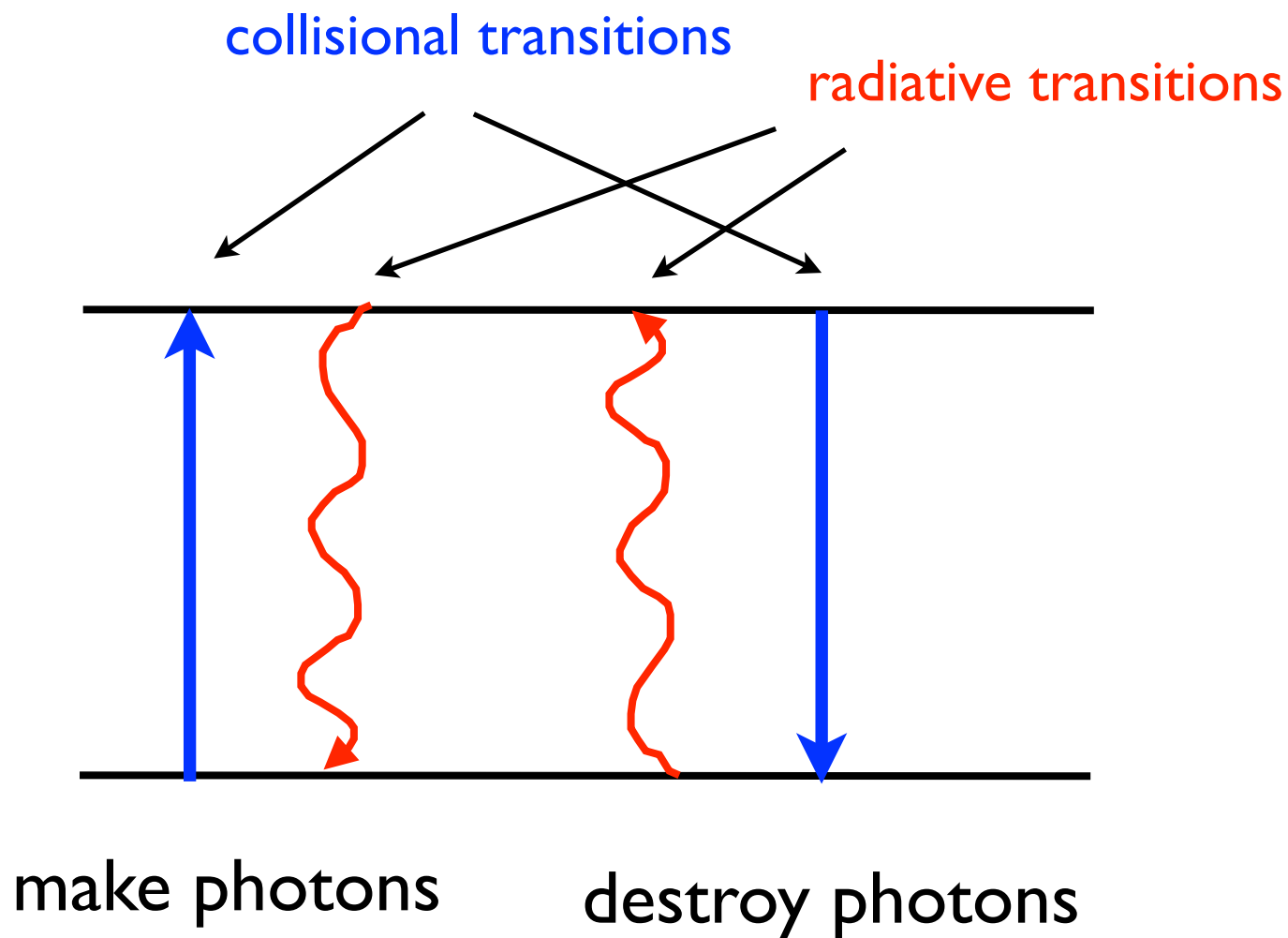
N III appears  
in emission!

solid grey line: model

modern models match  
complex spectra in detail

when should we expect LTE to break down?  
*whenever the close interchange between thermal energy  
and radiative energy is lost:*  
collision-induced transitions are being overwhelmed

example with the two-level atom



but when  $A_{21} > n_e C_{21}$ :  
photons scatter, and  
'travel far'; level populations  
depart from Boltzmann,  
now coupled to distant  
radiation field:  
lines can go into emission!  
(happens in Fe  $n=1-2$  even  
at  $n_e \sim 10^{23} \text{ cm}^{-3}$ !!  
because  $A_{21}$  is very large!)

$A_{21}$ : spontaneous radiative decay  
 $C_{21}$ : collisional deexcitation



so: detailed line spectroscopy:  
 $T_{\text{eff}}$  (ionization/excitation balance),  
abundances, ***B*** fields, rotation,  
gravitational redshift, and:  $\log g$

Applications to Neutron Stars:  
tomorrow!