

Physics Department, Tohoku University,  
June 30 – July 2, 2014

# **Nuclear Forces**

## **- Lecture 6 -**

# **Nuclear many-body forces from chiral EFT**

**R. Machleidt**  
**University of Idaho**

# Nuclear Forces

## - Overview of all lectures -

- Lecture 1: Historical perspective
- Lecture 2: Properties and phenomenology of the nuclear force
- Lecture 3: The meson theory of nuclear forces
- Lecture 4: QCD and nuclear forces; the symmetries of low-energy QCD
- Lecture 5: Effective field theory (EFT) for low-energy QCD and nuclear two-body forces
- Lecture 6: Nuclear many-body forces from chiral EFT

# Lecture 6: Nuclear many-body forces

- Beyond the two-nucleon force: 3NFs
- Applications in nuclear structure
- More on many-nucleon forces
- Conclusions

# Three-nucleon forces (3NF)

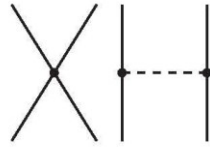
2N forces

3N forces

4N forces

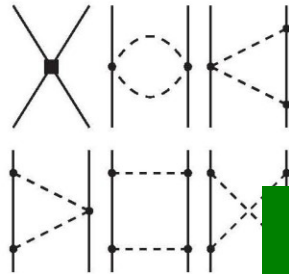
Leading  
Order

$Q^0$   
LO



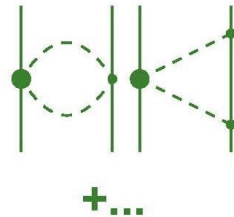
Next-to  
Leading  
Order

$Q^2$   
NLO



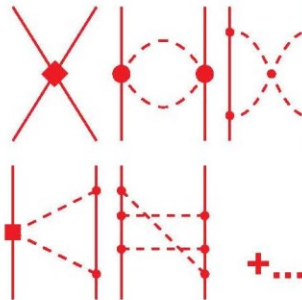
Next-to-  
Next-to  
Leading  
Order

$Q^3$   
 $N^2LO$



Next-to-  
Next-to-  
Next-to  
Leading  
Order

$Q^4$   
 $N^3LO$

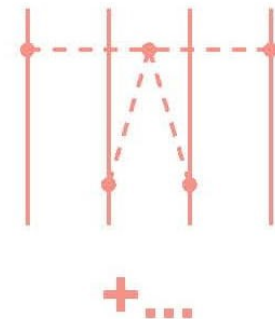
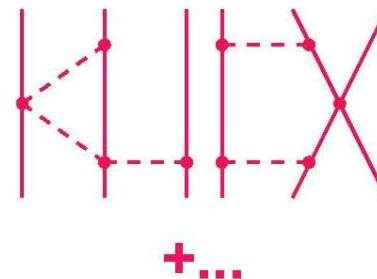
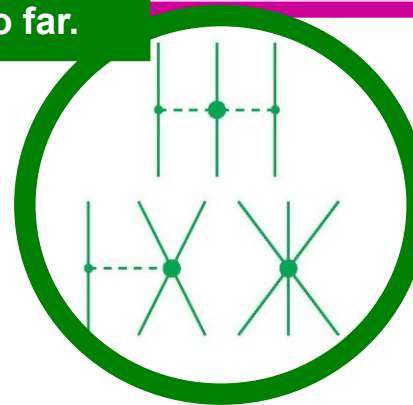


# The Hierarchy of Nuclear Forces

$$\text{Power} = -2 + 2A - 2C + 2L + \sum_{\text{all vertices}} \Delta_i$$

with  $\Delta_i = d_i + \frac{n_i}{2} - 2$

The 3NF  
at NNLO;  
used so far.



Now, showing only  
3NF diagrams.

**LO**  
 $(Q/\Lambda_\chi)^0$

**NLO**  
 $(Q/\Lambda_\chi)^2$

**NNLO**  
 $(Q/\Lambda_\chi)^3$

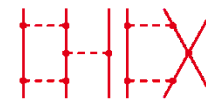
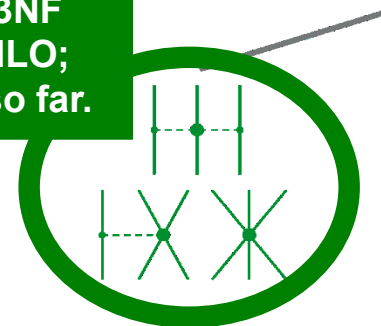
**N<sup>3</sup>LO**  
 $(Q/\Lambda_\chi)^4$

**N<sup>4</sup>LO**  
 $(Q/\Lambda_\chi)^5$

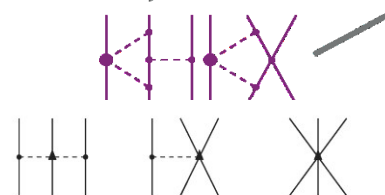
$$\text{Power} = -2 + 2A - 2C + 2L + \sum_{\text{all vertices}} \Delta_i$$

with  $\Delta_i = d_i + \frac{n_i}{2} - 2$

The 3NF  
at NNLO;  
used so far.

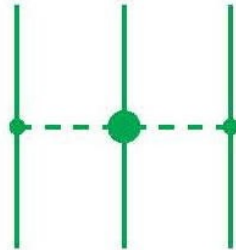


+...



# Three-nucleon forces at NNLO

TPE-3NF



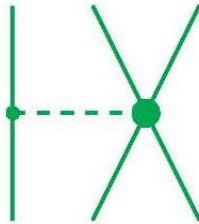
$$V_{\text{TPE}}^{3\text{NF}} = \left( \frac{g_A}{2f_\pi} \right)^2 \frac{1}{2} \sum_{i \neq j \neq k} \frac{(\vec{\sigma}_i \cdot \vec{q}_i)(\vec{\sigma}_j \cdot \vec{q}_j)}{(q_i^2 + m_\pi^2)(q_j^2 + m_\pi^2)} F_{ijk}^{\alpha\beta} \tau_i^\alpha \tau_j^\beta$$

with  $\vec{q}_i \equiv \vec{p}_i' - \vec{p}_i$ , where  $\vec{p}_i$  and  $\vec{p}_i'$  are the initial and final momenta of nucleon  $i$ , respectively, and

$$F_{ijk}^{\alpha\beta} = \delta^{\alpha\beta} \left[ -\frac{4c_1 m_\pi^2}{f_\pi^2} + \frac{2c_3}{f_\pi^2} \vec{q}_i \cdot \vec{q}_j \right] + \frac{c_4}{f_\pi^2} \sum_\gamma \epsilon^{\alpha\beta\gamma} \tau_k^\gamma \vec{\sigma}_k \cdot [\vec{q}_i \times \vec{q}_j]$$

No new parameters!

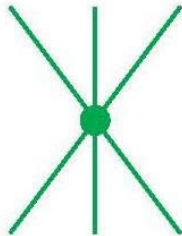
OPE-3NF



$$V_{\text{OPE}}^{3\text{NF}} = D \frac{g_A}{8f_\pi^2} \sum_{i \neq j \neq k} \frac{\vec{\sigma}_j \cdot \vec{q}_j}{q_j^2 + m_\pi^2} (\tau_i \cdot \tau_j) (\vec{\sigma}_i \cdot \vec{q}_j)$$

One new parameter,  $D$ .

Contact-3NF



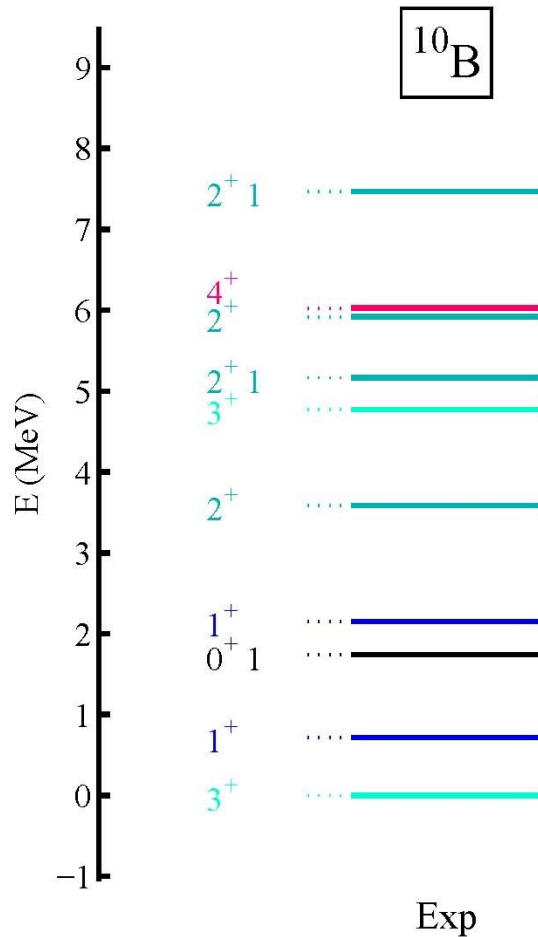
$$V_{\text{ct}}^{3\text{NF}} = E \frac{1}{2} \sum_{j \neq k} \tau_j \cdot \tau_k$$

One new parameter,  $E$ .

**Strategy:** Adjust  $D$  and  $E$  to two few-nucleon observables, e.g., the triton and alpha-particle binding energies. Then **predict** properties of other light nuclei.

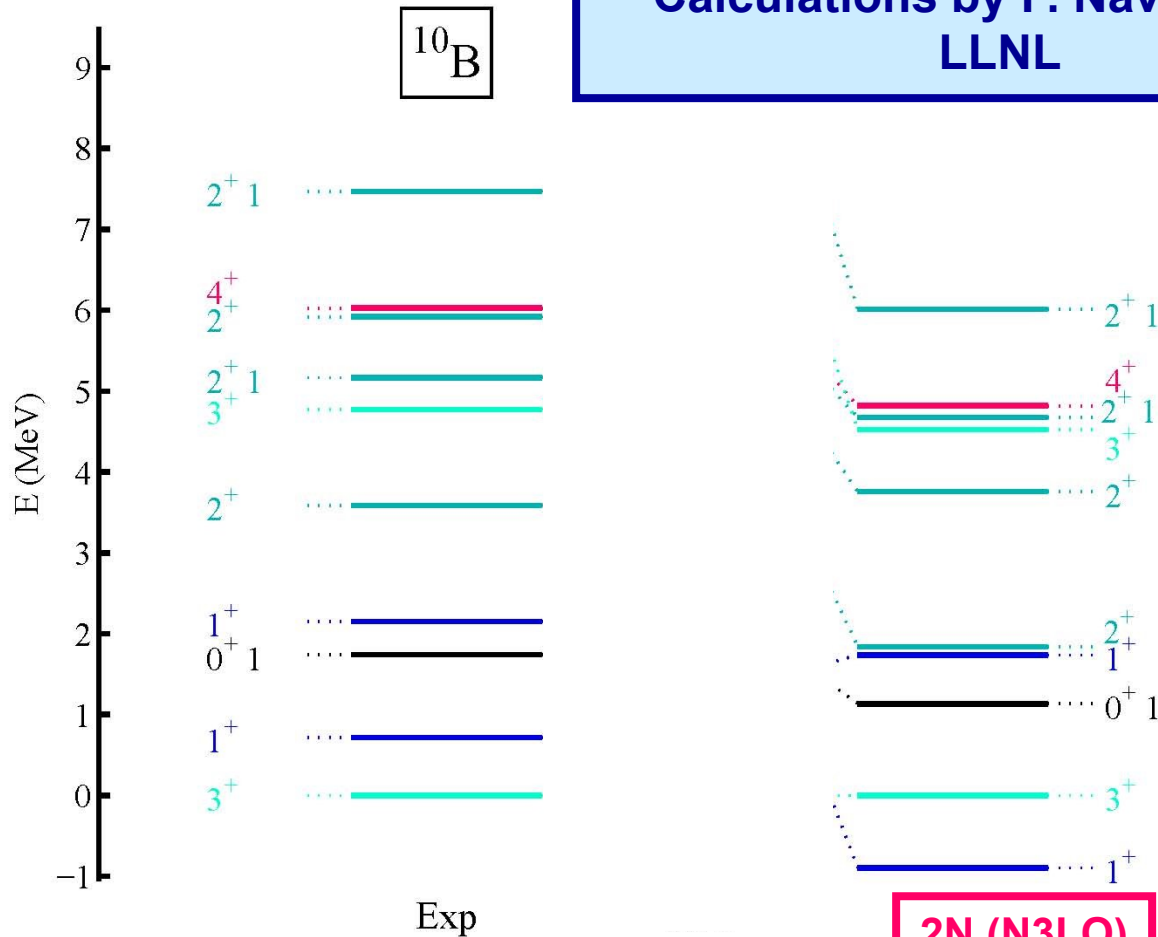
# Calculating the properties of light nuclei using chiral 2N and 3N forces

**“No-Core Shell Model  
Calculations by P. Navratil et al.,  
LLNL**

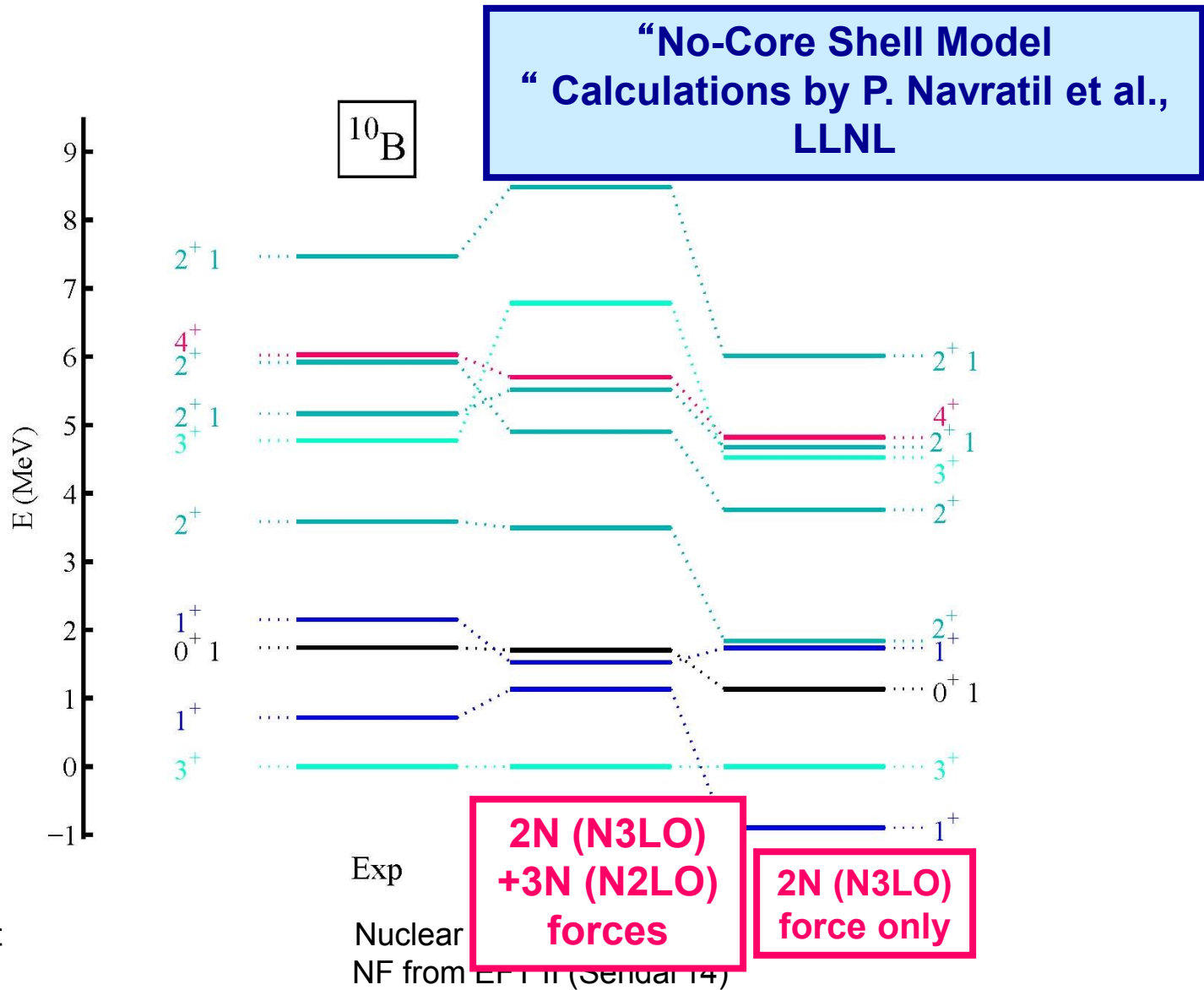


# Calculating the properties of light nuclei using chiral 2N and 3N forces

“No-Core Shell Model  
“ Calculations by P. Navratil et al.,  
LLNL



# Calculating the properties of light nuclei using chiral 2N and 3N forces



# Continuum Effects and Three-Nucleon Forces in Neutron-Rich Oxygen Isotopes

G. Hagen,<sup>1,2</sup> M. Hjorth-Jensen,<sup>3,4,5</sup> G. R. Jansen,<sup>3</sup> R. Machleidt,<sup>6</sup> and T. Papenbrock<sup>2,1</sup>

## Evolution of Shell Structure in Neutron-Rich Calcium Isotopes

G. Hagen,<sup>1,2</sup> M. Hjorth-Jensen,<sup>3,4</sup> G. R. Jansen,<sup>3</sup> R. Machleidt,<sup>5</sup> and T. Papenbrock<sup>1,2</sup>

### Oxygen

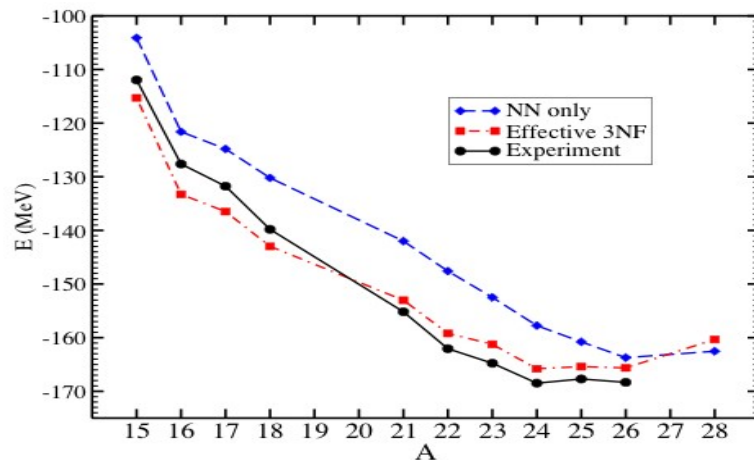


FIG. 1: (Color online) Ground-state energy of the oxygen isotope  $^A\text{O}$  as a function of the mass number  $A$ . Black circles: experimental data; blue diamonds: results from nucleon-nucleon (NN) forces; red squares: results including the effects of three-nucleon forces.

### Calcium

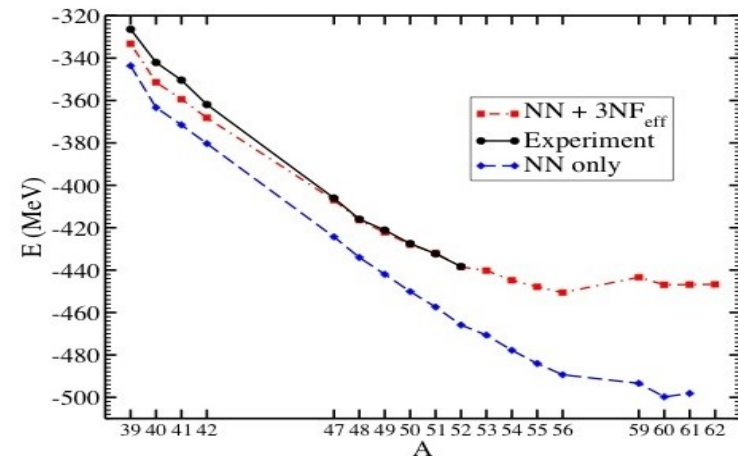
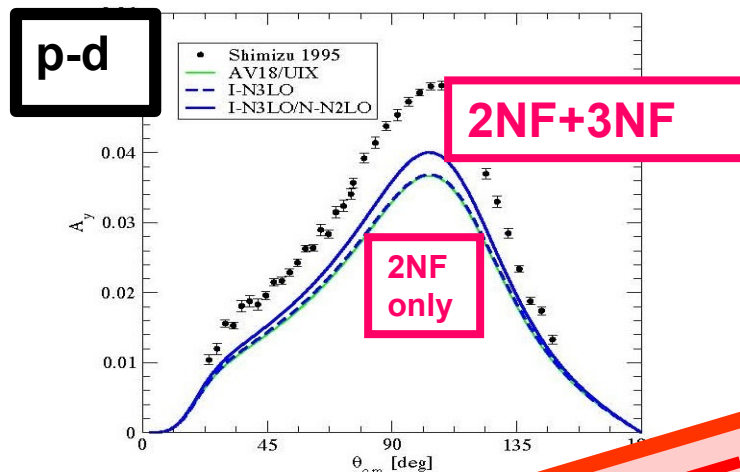


FIG. 1: (Color online) Ground-state energy of the calcium isotopes as a function of the mass number  $A$ . Black circles: experimental data; red squares: theoretical results including the effects of three-nucleon forces; blue diamonds: predictions from chiral NN forces alone. The experimental results for  $^{51,52}\text{Ca}$  are from Ref. [34].

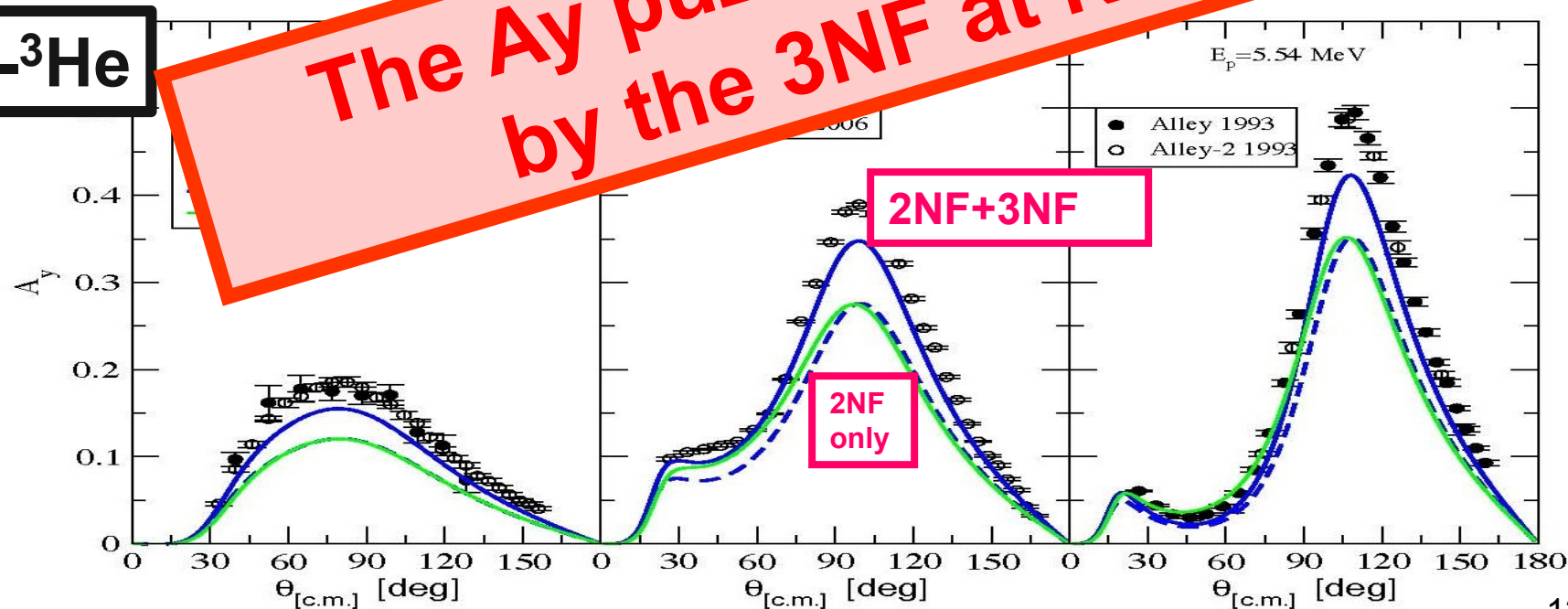
# Analyzing Power $A_y$



Calculations by  
the Pisa Group

**Fig. 9.**  $p-d$  observable  $A_y$  at  $E_p = 3$  MeV calculated with the I-N3LO (blue dashed line), the I-N3LO/N-N2LO (blue solid line), and the AV18/UIX (thin green solid line) interaction models. The experimental data are from Ref. [37].

**The  $A_y$  puzzle is NOT solved by the 3NF at NNLO.**



**Fig. 6.**  $p-^3\text{He}$   $A_y$  observable calculated with the I-N3LO (blue dashed line), the I-N3LO/N-N2LO (blue solid line), and the AV18/UIX (thin green solid line) interaction models for three different incident proton energies. The experimental data are from Refs. [37,22,36].

**And so,  
we need 3NFs beyond NNLO,  
because ...**

- **The 2NF is N<sup>3</sup>LO;  
consistency requires that all contributions  
are included up to the same order.**
- **There are unresolved problems in 3N and  
4N scattering, and nuclear structure.**

$\Delta$ -less

**LO**  
 $(Q/\Lambda_\chi)^0$

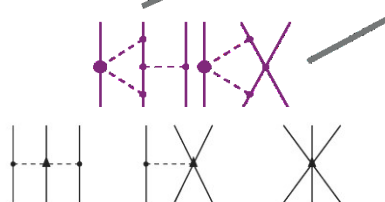
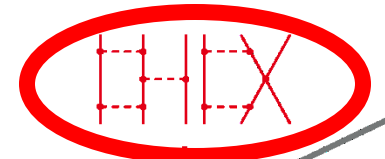
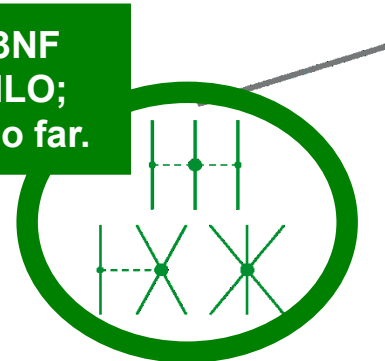
**NLO**  
 $(Q/\Lambda_\chi)^2$

**NNLO**  
 $(Q/\Lambda_\chi)^3$

**N<sup>3</sup>LO**  
 $(Q/\Lambda_\chi)^4$

**N<sup>4</sup>LO**  
 $(Q/\Lambda_\chi)^5$

The 3NF  
 at NNLO;  
 used so far.



$\Delta$ -less

LO  
 $(Q/\Lambda_\chi)^0$

NLO  
 $(Q/\Lambda_\chi)^2$

**2 $\pi$ -exchange**

**2 $\pi$ -1 $\pi$ -exchange**

**ring diagrams**

**contact-1 $\pi$ -exchange**

**contact-2 $\pi$ -exchange**

$\Delta$ -less

LO  
 $(Q/\Lambda_\chi)^0$

NLO  
 $(Q/\Lambda_\chi)^2$

NNLO  
 $(Q/\Lambda_\chi)^3$

N<sup>3</sup>LO  
 $(Q/\Lambda_\chi)^4$

N<sup>4</sup>LO  
 $(Q/\Lambda_\chi)^5$

The 3NF  
 at NNLO;  
 used so far.

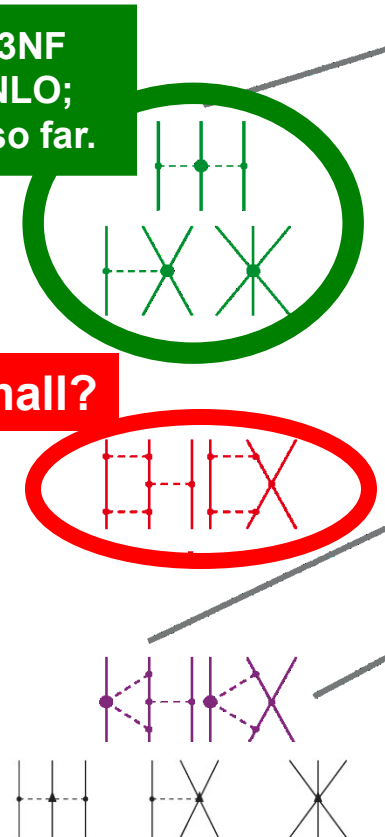
Small?

## Apps of N3LO 3NF:

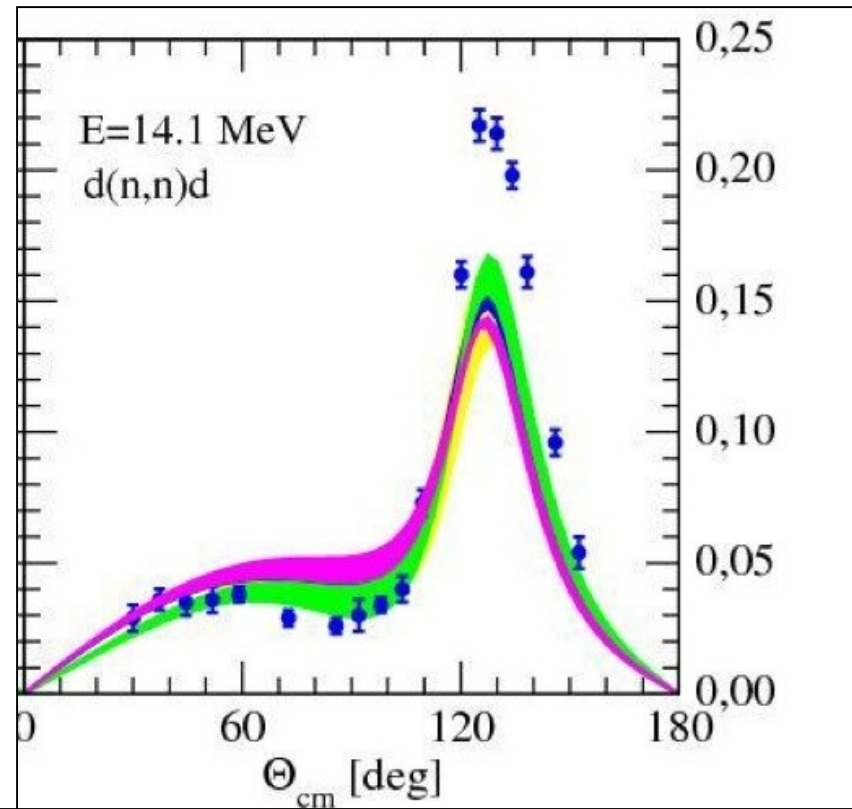
**Triton:** Skibinski et al.,  
 PRC 84, 054005 (2011).  
**Not conclusive.**

**Neutron matter:**  
 Hebeler, Schwenk  
 and co-workers,  
 PRL 110, 032504 (2013).  
**Not small!(!?)**

**N-d scattering ( $A_y$ ):**  
 Witala et al.  
**Small!**



# N-d $A_y$ calculations by Witala et al.

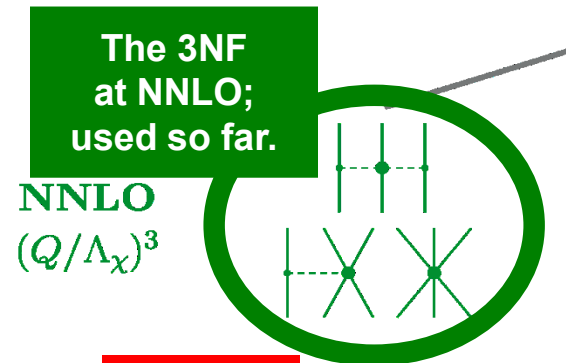


- chiral  $N^3LO$  + 3NF  $N^3LO$  ( $\pi\pi$ +D+E)
- chiral  $N^3LO$  + 3NF  $N^3LO$  ( $\pi\pi$ + $2\pi$ 1 $\pi$ +D+E)
- chiral  $N^3LO$
- TUNL nd data
- chiral  $N^3LO$  + 3NF  $N^3LO$  ( $\pi\pi$ + $2\pi$ 1 $\pi$ +ring+D+E)

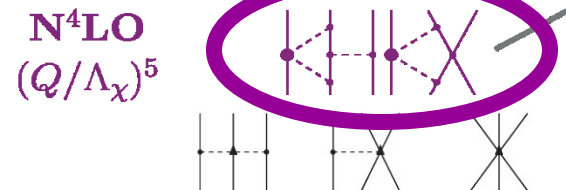
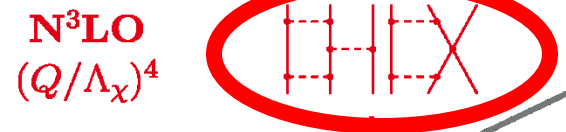
$\Delta$ -less

**LO**  
 $(Q/\Lambda_\chi)^0$

**NLO**  
 $(Q/\Lambda_\chi)^2$



Small?



# Chiral 3N Force

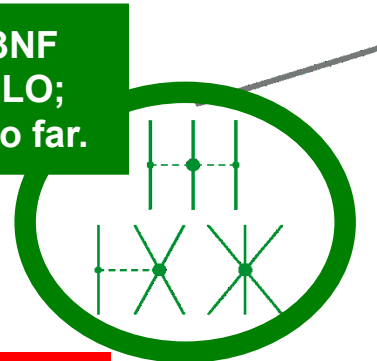
$\Delta$ -less

LO  
 $(Q/\Lambda_\chi)^0$

NLO  
 $(Q/\Lambda_\chi)^2$

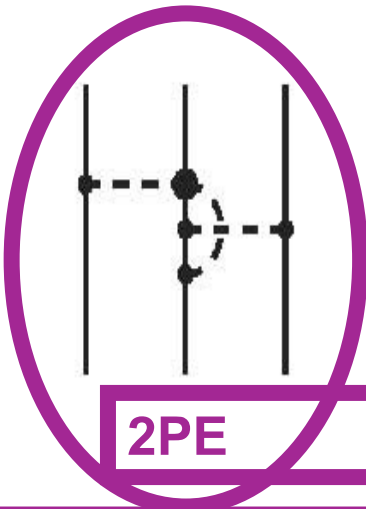
NNLO  
 $(Q/\Lambda_\chi)^3$

The 3NF  
at NNLO;  
used so far.



Small?

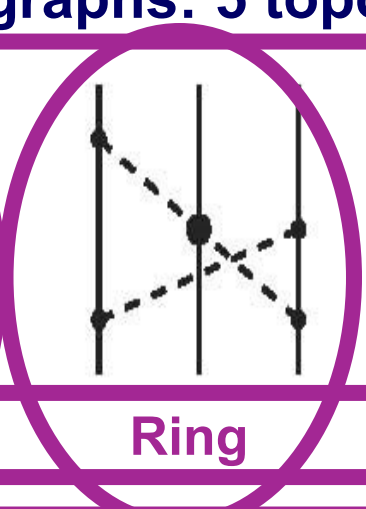
1-loop graphs: 5 topologies



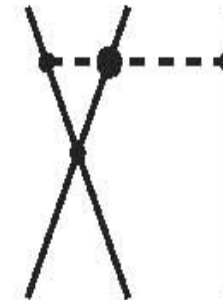
2PE



2PE-1PE



Ring



Contact-1PE



Contact

# Chiral 3N Force

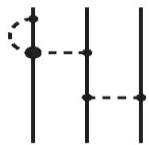
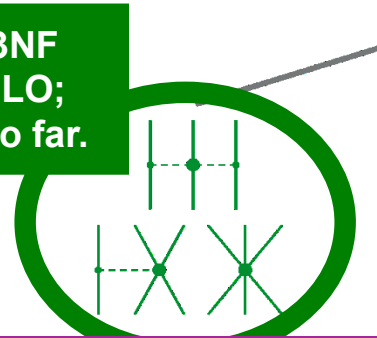
$\Delta$ -less

LO  
 $(Q/\Lambda_\chi)^0$

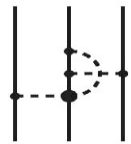
NLO  
 $(Q/\Lambda_\chi)^2$

NNLO  
 $(Q/\Lambda_\chi)^3$

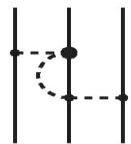
The 3NF  
at NNLO;  
used so far.



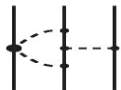
(2)



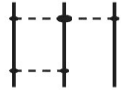
(7)



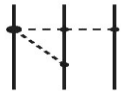
(12)



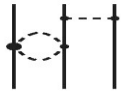
(1)



(6)



(11)



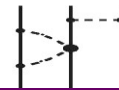
(16)



(17)



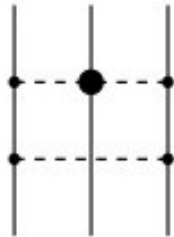
(18)



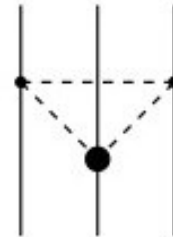
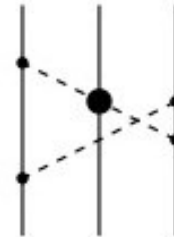
(2)



(3)



(1)



(4)

baies

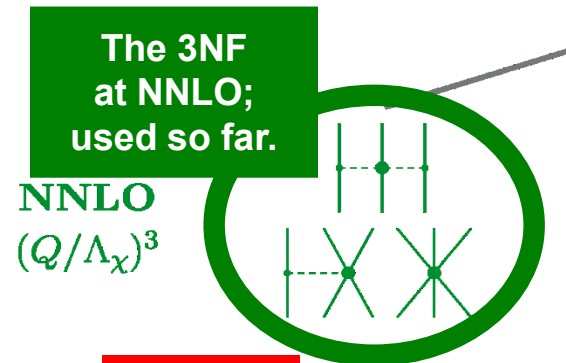
ntact-1PE

Contact

$\Delta$ -less

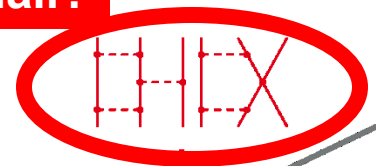
**LO**  
 $(Q/\Lambda_\chi)^0$

**NLO**  
 $(Q/\Lambda_\chi)^2$

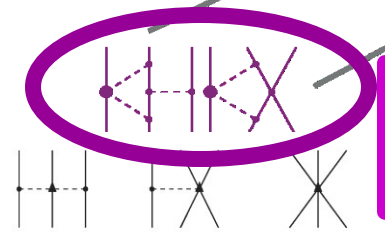


Small?

**N<sup>3</sup>LO**  
 $(Q/\Lambda_\chi)^4$



**N<sup>4</sup>LO**  
 $(Q/\Lambda_\chi)^5$



Large?!

$\Delta$ -less

**LO**  
 $(Q/\Lambda_\chi)^0$

**NLO**  
 $(Q/\Lambda_\chi)^2$

**NNLO**  
 $(Q/\Lambda_\chi)^3$

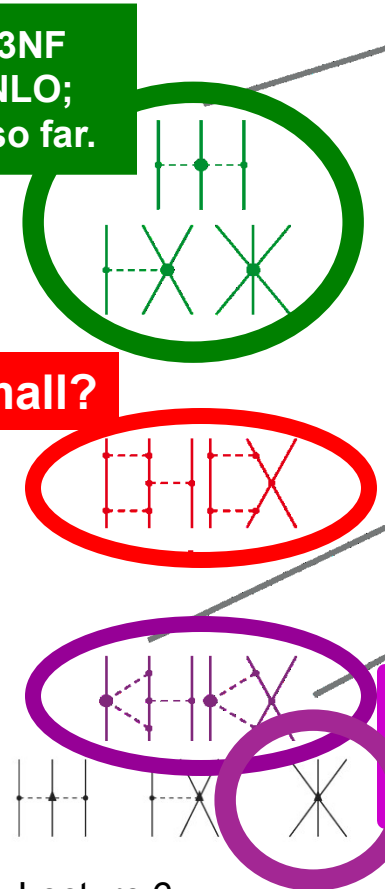
**N<sup>3</sup>LO**  
 $(Q/\Lambda_\chi)^4$

**N<sup>4</sup>LO**  
 $(Q/\Lambda_\chi)^5$

The 3NF  
at NNLO;  
used so far.

Small?

Large?!



# Chiral 3N Force

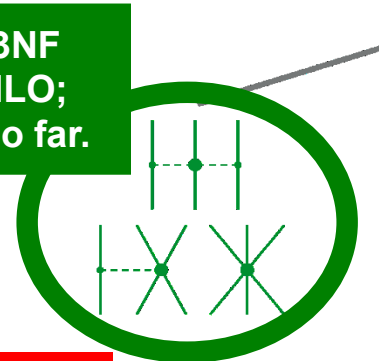
$\Delta$ -less

LO  
 $(Q/\Lambda_\chi)^0$

NLO  
 $(Q/\Lambda_\chi)^2$

NNLO  
 $(Q/\Lambda_\chi)^3$

The 3NF  
at NNLO;  
used so far.



Small?

3NF contacts  
at N4LO

Girlanda, Kievsky, Viviani, PRC 84, 014001 (2011)

$\mathbf{k}_i = \mathbf{p}_i - \mathbf{p}'_i$  and  $\mathbf{Q}_i = \mathbf{p}_i + \mathbf{p}'_i$ ,  $\mathbf{p}_i$  and  $\mathbf{p}'_i$  being the initial and final momenta of nucleon  $i$ , the potential in momentum space is found to be

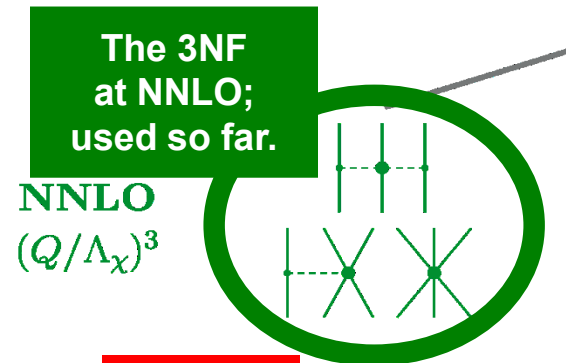
$$V = \sum_{i \neq j \neq k} \left[ -E_1 \mathbf{k}_i^2 - E_2 \mathbf{k}_i^2 \boldsymbol{\tau}_i \cdot \boldsymbol{\tau}_j - E_3 \mathbf{k}_i^2 \boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j - E_4 \mathbf{k}_i^2 \boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j \boldsymbol{\tau}_i \cdot \boldsymbol{\tau}_j \right. \\ \left. - E_5 (3\mathbf{k}_i \cdot \boldsymbol{\sigma}_i \mathbf{k}_i \cdot \boldsymbol{\sigma}_j - \mathbf{k}_i^2) - E_6 (3\mathbf{k}_i \cdot \boldsymbol{\sigma}_i \mathbf{k}_i \cdot \boldsymbol{\sigma}_j - \mathbf{k}_i^2) \boldsymbol{\tau}_i \cdot \boldsymbol{\tau}_j \right. \\ \left. + \frac{i}{2} E_7 \mathbf{k}_i \times (\mathbf{Q}_i - \mathbf{Q}_j) \cdot (\boldsymbol{\sigma}_i + \boldsymbol{\sigma}_j) + \frac{i}{2} E_8 \mathbf{k}_i \times (\mathbf{Q}_i - \mathbf{Q}_j) \cdot (\boldsymbol{\sigma}_i + \boldsymbol{\sigma}_j) \boldsymbol{\tau}_j \cdot \boldsymbol{\tau}_k \right]$$

Spin-Orbit  
Force!

$\Delta$ -less

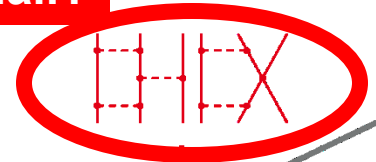
**LO**  
 $(Q/\Lambda_\chi)^0$

**NLO**  
 $(Q/\Lambda_\chi)^2$

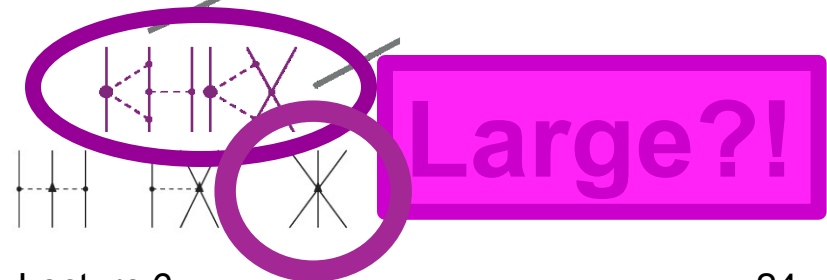


Small?

**N<sup>3</sup>LO**  
 $(Q/\Lambda_\chi)^4$



**N<sup>4</sup>LO**  
 $(Q/\Lambda_\chi)^5$



$\Delta$ -less

# A realistic, investigational approach:

- use  $\Delta$ -less
- include NNLO 3NF
- skip N3LO 3NF
- at N4LO start with contact 3NF, use one term at a time, e.g. spin-orbit
- that may already solve some of your problems.

LO  
 $(Q/\Lambda_\chi)^0$

NLO  
 $(Q/\Lambda_\chi)^2$

NNLO  
 $(Q/\Lambda_\chi)^3$

N<sup>3</sup>LO  
 $(Q/\Lambda_\chi)^4$

N<sup>4</sup>LO  
 $(Q/\Lambda_\chi)^5$

The 3NF at NNLO; used so far.

Small?

Large?!

# Current problems with chiral 3NFs

- “Explosion” of 3NFs at higher orders.
- For the time being, deal with it selectively. Do not try “complete” calculations.
- Many open questions
- Will the chiral 3NF converge with increasing order?
- (This is quite in contrast to the chiral 2NF, where things are under control.)

# Four-nucleon forces (4NF)

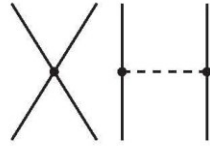
2N forces

3N forces

4N forces

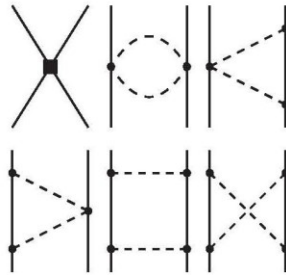
Leading  
Order

$Q^0$   
LO



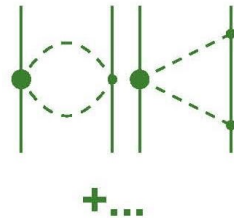
Next-to  
Leading  
Order

$Q^2$   
NLO



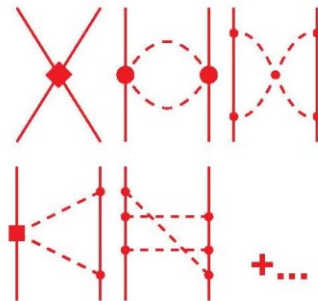
Next-to-  
Next-to  
Leading  
Order

$Q^3$   
 $N^2LO$

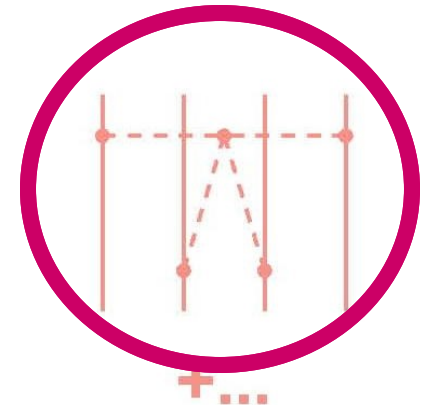
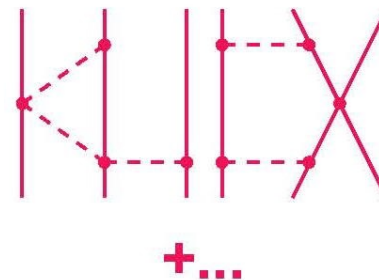
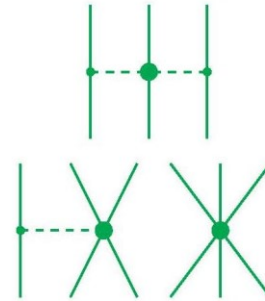


Next-to-  
Next-to-  
Next-to  
Leading  
Order

$Q^4$   
 $N^3LO$



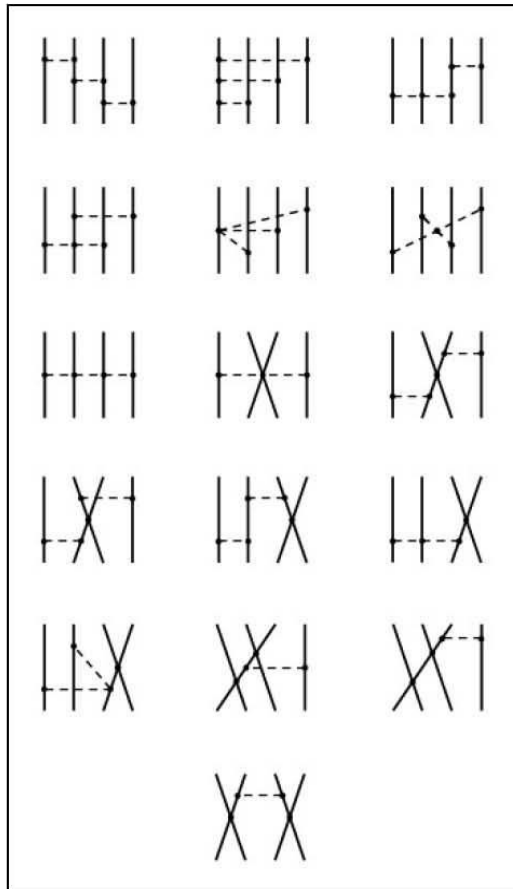
The Hierarchy of  
Nuclear Forces



NF from EFT II (Sendai'14)

# 4NF at N3LO (leading order)

Epelbaum, Phys. Lett. **B639** (2006) 456 [nucl-th/0511025]



Note that only vertices from  $L_{\pi\pi}^{(2)}$ ,  $L_{\pi N}^{(1)}$  and  $L_{NN}^{(0)}$  are involved,

- no new parameters,
- weak.

First rough estimate:

$\approx 0.1$  MeV to  $\alpha$  binding.

# Conclusions

- After 80 years of struggle, we have now a proper theory for nuclear forces that is based upon the fundamental theory of strong interactions, QCD. It is chiral effective field theory for low-energy QCD, in which the pion plays a fundamental role (Goldstone boson). **Thus, the original Yukawa idea that there is ONE MESON that makes the nuclear force is re-instated.** But as compared to the early attempts, a crucial constraint has been added: **chiral symmetry generates and controls pion-exchanges.**
- Based upon chiral perturbation theory (ChPT), quantitative NN potentials have been successfully developed (at N<sup>3</sup>LO) and are applied in nuclear structure calculations.
- In ChPT, two- and many-body forces are generated on an equal footing. Concerning the 3NF, there are still some open issues including its order-by-order convergence.
- **A new and exciting era has started for microscopic nuclear structure!**

# *The End*

**Review article:**

**R. Machleidt and D.R. Entem,  
*Chiral Effective Field Theory and Nuclear Forces*,  
Physics Reports 503, 1 (2011).**